This Action Plan sets forth funding priorities for the 2019 funding year and serves as the City’s annual funding application to the U.S. Department of Housing and Urban Development for the following grant programs:

- Community Development Block Grant (CDBG)
- HOME Investment Partnership Program (HOME)

(June 2019)
Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

This Annual Action Plan (AAP), effective from January 1, 2019 through December 31, 2019, is intended to promote decent, safe, sanitary, and affordable housing, as well as neighborhood stability within the City of Aurora. It continues to address long-standing housing and community development priorities defined in the City’s 2015-2019 Consolidated Plan, which include physical, economic, demographic, and social conditions throughout the city. As the lead agency, the City of Aurora’s Neighborhood Redevelopment Division (NRD) is responsible for carrying out activities to meet the Plan’s priority needs.

The Annual Action Plan describes the activities the City intends to undertake in Program Year 2019 with funds received from the U.S. Department of Housing and Urban Development (HUD). The programs include the Community Development Block Grant Program (CDBG) and HOME Investment Partnerships Program (HOME).

The City’s 2019 HUD activities were recommended based upon an estimate as per 100% of the City’s 2018 annual allocations and were selected and approved by City Council on January 22, 2019. On April 15, 2019, HUD provided notice of the City’s 2019 HUD allocations, which included allocations for the City’s CDBG and HOME Programs. The City’s 2019 CDBG and HOME allocations decreased slightly from their 2018 allocations by 6% and 8%, respectively. (The City’s draft annual action plan included contingency language to address the City’s accommodation of increases or decreases in its actual 2019 allocations and the respective adjustments have been made.) The City did not receive a 2019 Emergency Solutions Grant Program (ESG) allocation.
The Annual Action Plan describes the activities the City intends to undertake in Program Year 2019 with funds received from the U.S. Department of Housing and Urban Development (HUD). The programs include the Community Development Block Grant Program (CDBG) and the HOME Investment Partnerships Program (HOME) and Section 108 Program.

2. **Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

In keeping with the City's 2015-2019 Consolidated Plan priorities, the City identified the following higher priority non-housing needs for the upcoming 2019 Program Year:

The 2019 Program Year Outcomes are found in the AP-20, “Goals and Outcomes.” In summary, the City's objectives include increase youth services, especially those proposals/projects which complement overall City goals of violence prevention and youth education; provide supportive services for elderly/disabled persons; provide employment training to unemployed persons. Mitigate or prevent homelessness; increase/improve the quality of facilities (including public service facilities); help smaller businesses create or retain full time jobs at a living wage. Improve rate of survival of micro-enterprise businesses; provide micro-business expansion within locally selected neighborhoods, including the NRSA; and initiate improvement efforts in locally selected geographical areas that complement City Neighborhood Plans.

In addition to meet HUD's CDBG National Objectives of Low to Moderate Income (LMI) Benefit, the City also evaluated projects based upon the following criteria:

1. Ability to address a City Objective
2. Capacity and experience of the organization
3. Leveraged resources
4. Project Readiness
5. Location/service area

The City also continues to work toward meeting its housing priority needs which are as follows:

Existing housing in need of repairs of renovations in order to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards, and owned and occupied by income-eligible households whose housing costs exceed 30% of income.

Households between 40% and 80% of median income who that are trying to purchase their own homes and contribute to the stabilization of neighborhoods within the city.

Income-eligible renter households with housing cost burdens greater than 30% of their income, living in housing in need of repairs or renovation in order to meet current building codes, lead paint mitigation.
standards, or greater energy efficiency standards. Very low-income households at risk of becoming homeless or currently homeless households who are currently homeless and that are very mobile within Aurora, and who are proportionately larger users of human services, health care, or emergency services.

The City will also continue to work within the HUD-approved Neighborhood Revitalization Strategy Area (NRSA) encompassing the City’s near-east side and its downtown, covering portions of census tracts 8533.02; 8534.03 (per 2010 Census update, no longer considered an LMI census tract); 8534.04; 8536.03; 8536.04; 8537.01; 8537.02; 8541.02. The NRSA has concentrations of low-income residents, substandard housing and overcrowded housing. The NRSA was first approved by HUD in 1999 and was amended and approved in 2011. Since the adoption of its 2015-2019 Consolidated Plan, the City recognized changes to CT/BGs within the NRSA impact its boundaries. The City will seek the consultant services to facilitate the public participation process as it reviews the existing boundaries and proposes amendments to address the changing LMI CT/BG areas and to further explore whether areas adjacent to the NRSA can be included. As before, this effort will require HUD approval and until such time as it moves forward, the City will continue to use the amended 2011 Strategy through the remainder of its Consolidated Plan period. The City anticipates that the NRSA amendment effort will commence during the 2019 Program Year in conjunction with the development of the City’s 2020-2025 Consolidated Plan.
3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

During the 2018 Program Year, the City allocated over $1 million in CDBG funds toward housing, public services, and capital improvement projects. The City’s CDBG 2018 public service funds were used to assist 7,111 beneficiaries as follows:

- Operating Costs of Homeless/AIDS Patients Programs: 519
- Senior Services: 6,252
- Legal Services: 29
- Youth Services: 210
- Substance Abuse Services: 42
- Battered and Abused Spouses: 59

The City provided down payment assistance to 15 LMI households and housing rehabilitation assistance to 40 households. Through the City’s neighborhood revitalization/infrastructure program goal, LMI 5,175 beneficiaries were served.

All beneficiaries were required to meet HUD’s National Objective of Low-Moderate Income (LMI) Benefit standards. Due to the City’s delayed receipt of 2018 CDBG funds, the capital projects were unable to move forward during the program year; it is anticipated that the projects will move forward during the 2019 Program Year. The anticipated LMI beneficiaries under the City’s capital improvement funding allocations are as follows:
Neighborhood Revitalization: 5,055 (reflects LMI population in service area - CT/BGs: 88529.05 BG2, 8529.07, BG 3, 8534.00 BG 6, 8535.00 BGs 3 and 4, 8536.00 BG 3)

Public Facilities: 751 Aurorans (2,222 total clients)

HUD Timeliness Requirements
In the CDBG program, one regulatory measure used by HUD to evaluate performance is the timeliness ratio test. This is a formula that measures the amount of an entitlement community’s unexpended funds sixty days prior to the start of its next program year in relation to the amount of funding it receives, and the ratio cannot exceed 1.5. The City’s timeliness is measured on November 2nd of each year. This year the City’s timeliness ratio was 1.42, slightly below the 1.5 threshold set by HUD. A few factors contributed to a higher than normal timeliness ratio, including the late disbursement of 2017 program funds, which delayed several capital projects as well as the cancellation of projects. The City expects to expend a large amount of funds before its next timeliness and is confident the timeliness ratio will be well under 1.5 before the Consolidated Annual Performance Evaluation Report (CAPER). Since the beginning of the City’s CDBG program participation, the City has consistently exceeded HUD’s 70 percent requirement in its funding of activities that benefit low and moderate-income persons.

The HOME program uses commitment and expenditure deadlines to measure performance. Although committing CHDO funds has been difficult in the past, the City will continue to be proactive in seeking projects to meet its CHDO and direct activity deadlines.

The ESG program uses drawdown requirements to measure performance. Although the City did not receive 2019 ESG funds, the City will make every effort to ensure consistency in its drawdowns of its 2018 ESG funds.

While these financial tests are required and are measurements of performance, it is also important that projects and programs carried out with these funds meet outcome expectations and benefit eligible persons. Reporting on outcomes and beneficiaries is made available each March in the Consolidated Annual Performance and Evaluation Report (CAPER).

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

2019 AAP Citizen Participation Process: The City announced its pre-application CDBG workshop on July 2, 2018. The mandatory workshop for potential 2019 applicants was held on August 10, 2018. At that time, in addition to presenting the City’s anticipated CDBG allocations, the City also presented its intentions for its HOME Investment Partnerships (HOME) allocation with funding designated for
program administration (10% of anticipated allocation) and the Direct Project and Community Housing
Development Organization set-aside activities TBD as well as its Emergency Solutions Grant (ESG) with
funding designated for program administration (7.5%) and the remainder TBD for activities related to:
street outreach, emergency shelter, homelessness prevention, rapid re-housing, and/or Homeless
Management Information System Data Collection. The CDBG application period was open for over a
month. 18 applications were submitted by the October September 21, 2018 deadline, with one of the
applications subsequently withdrawn by the applicant on October 30, 2018. City staff reviewed the
applications and then conducted a special meeting to review the applications with the Block Grant
Working Committee (BGWC) on November 28, 2018. At that time, the BGWC voted on and unanimously
approved 10 of the applications and one new to be determined neighborhood revitalization project for
recommendation to the City Council. A public hearing conducted on December 17, 2018. The 2019
Annual Action Plan public comment period concluded on January 22, 2019, the date of the City Council’s
meeting during which time the recommendations were approved by City Council.

The development and adoption of the City’s 2019 Annual Action Plan was consistent with the City’s
Citizen Participation Plan and all applicable HUD regulations.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen
Participation section of the Con Plan.

Please see Appendix A for detailed information pertaining to the City’s 2019 Annual Action Plan public
participation process. The City followed its Citizen Participation Plan (attached to Appendix A). A public
hearing for the City’s 2019 Annual Action Plan took place on December 17, 2018. Only one public
comment was submitted from Hesed House. This comment expressed appreciation to the City for its
2019 CDBG award recommendation.

6. Summary of comments or views not accepted and the reasons for not accepting them

A public hearing for the City’s 2019 Annual Action Plan took place on December 17, 2018 at 5:00 p.m., at
City Hall, Council Chambers, 2nd Floor, 44 E. Downer Place, Aurora, Illinois. Public comments were
accepted until the close of the public comment period on January 22, 2019. Only one comment was
submitted which expressed appreciation to the City for its 2019 funding recommendation. The comment
was accepted.
7. **Summary**

The City’s 2019 HUD funds will be used to meet goals and objectives established and approved by HUD. The 2019 Plan’s goals and objectives were developed as per the above noted public participation process. The 2019 Plan’s funding allocations were also approved by the City in an effort to meet the goals and objectives outlined in the City’s overall 2015-2019 Goals and Objectives which were developed based upon the City’s consultation with citizens, nonprofit organizations, developers, businesses, funding partners, schools and other governmental bodies. Their overarching purpose is to support the development of viable communities with decent housing, suitable living environments and economic opportunities for the City’s low to moderate income households.

The City will pursue these goals and objectives by working with the nonprofit community, housing developers, neighborhood groups, associated businesses, stakeholders, labor union representatives, other local government entities, residents and partners. The Neighborhood Redevelopment Division will also work closely with several other City agencies to jointly plan, implement and evaluate the Plan’s core activities.
PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

<table>
<thead>
<tr>
<th>Agency Role</th>
<th>Name</th>
<th>Department/Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lead Agency</td>
<td>AURORA</td>
<td></td>
</tr>
<tr>
<td>CDBG Administrator</td>
<td>AURORA</td>
<td>Neighborhood Redevelopment Division</td>
</tr>
<tr>
<td>HOPWA Administrator</td>
<td></td>
<td></td>
</tr>
<tr>
<td>HOME Administrator</td>
<td>AURORA</td>
<td>Neighborhood Redevelopment Division</td>
</tr>
<tr>
<td>ESG Administrator</td>
<td>AURORA</td>
<td>Neighborhood Redevelopment Division</td>
</tr>
<tr>
<td>HOPWA-C Administrator</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table 1 – Responsible Agencies

Narrative (optional)

As HUD entitlement grantee, the City of Aurora has designated its Neighborhood Redevelopment Division (NRD) as the lead agency for administration of the CDBG, HOME and ESG Programs. The City’s Block Grant Working Committee serves as the policy body overseeing the development of the Consolidated Plan, the Annual Action Plan, and related housing and community development programs. The (NRD) works with area community development and housing organizations, businesses, and funders to plan, develop, implement, and evaluate activities outlined in this Plan. The City of Aurora serve as the overall administrator for the programs and activities under this Plan.

Consolidated Plan Public Contact Information

Chris Ragona, Community Development Manager
Innovation and Core Services Department
Neighborhood Redevelopment Division
51 E. Galena Blvd.
1. Introduction

The City’s 2019 Annual Action Plan (AAP) was prepared by its Neighborhood Redevelopment Division. As the lead agency, the City of Aurora’s Neighborhood Redevelopment Division (NRD) is responsible for carrying out activities to meet priority needs. The City followed its Citizen Participation Plan in announcing its 2019 AAP funding cycle as well as its 2019 draft AAP.

The City announced its pre-application CDBG workshop on July 2, 2018. The mandatory workshop for potential 2019 applicants was held on August 10, 2018. At that time, in addition to presenting the City’s anticipated CDBG allocations, the City also presented its intentions for its HOME allocation with funding designated for program administration (10% of anticipated allocation) and the Direct Project and Community Housing Development Organization set-aside activities TBD as well as its Emergency Solutions Grant (ESG) with funding designated for program administration (7.5%) and the remainder TBD for activities related to: street outreach, emergency shelter, homelessness prevention, rapid re-housing, and/or Homeless Management Information System Data Collection. The CDBG application period was open for over a month. Eighteen applications were submitted by the September 21, 2018 deadline, with one of the applications subsequently withdrawn by the applicant on October 30, 2018.

City staff reviewed the applications and then conducted a special meeting to review the applications with the Block Grant Working Committee (BGWC) on November 28, 2018. At that time, the BGWC voted on and unanimously approved 10 of the applications. Due to the remainder of unexpended funds in the amount of $304,234, it was determined that the funds be allocated to a neighborhood revitalization project for recommendation to the City Council. All funds were recommended with the contingency that funding could and would be adjusted based upon the City’s actual 2019 HUD allocations. A public hearing was scheduled for December 17, 2018. The 2019 Annual Action Plan public comment period concluded on January 22, 2019, the date of the City Council’s meeting during which time the recommendations were reviewed and approved by City Council. Copies of the notices are included in the 2019 AAP’s Citizen Participation Comments Attachment. The City received HUD’s notice of its 2019 allocations in May 2019. The City did not receive a 2019 ESG allocation.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

The City makes an effort to communicate and carry on a dialogue with service providers and the community-at-large about the Consolidated Plan goals, objectives, and activities to support the current Plan. In addition, staff from the Mayor’s office participates in a variety of non-profit roundtables and coordinating meetings to identify needs for service and investment within the community.
The City also continues its coordination efforts through its Frequent Users of Systems Engagement (FUSE) partnership with other rapid re-housing, health, and mental health and service agencies. This effort is further described in “AP-65, Homeless and Other Special Needs Activities”.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The City of Aurora is an active participant in the Kane County Continuum of Care (CoC) system and is one of three local governments that provide non-federal funding on an annual basis to administratively support the CoC. As an active part of the CoC, the City has been a substantial force to promote better outreach, case management, and planning to address homeless issues in the City.

Hesed House, the largest homeless services provider in the City, is located in a former municipal building. This building was converted into a full service homeless facility through the use of City funds. Hesed House has become the second largest homeless shelter in the State of Illinois and has established a comprehensive resource center to help transition individuals out of homelessness. The City has actively sought to expand this community resource and other homeless services over the last three years through the completion of facilities improvement infrastructure projects funded via the CDBG program and local funds.

Special needs persons will benefit from 2019 funding allocated to a number of social service agencies and programs. These include:

- **Supportive services for the elderly and disabled allocated to Senior Services Associates**
- **Increasing and improving the quality of facilities with funding allocated to Rebuilding Together Aurora for home accessibility repairs for seniors and disabled citizens.**

The City and its CoC partners continue to press for funding and program efficiencies to maintain the County’s over 300 emergency shelter beds in the face of greater program demand. In additional to the vital service base provided with emergency shelter beds, the City and its partners also emphasize transitional shelter as a strategic response to reducing homelessness. A primary goal of PADS DBA Hesed House of Aurora is to assist families in becoming self-sufficient, enabling them to transition from temporary to permanent housing. Both organizations have within their goals and outcomes that of working toward permanent housing and self-sufficiency. To meet this goal each organization provides case management that includes life skills training, advocacy, and referrals to partner agencies. This case management is meant to assist in stabilizing a homeless person’s situation so that they can concentrate on dealing with the root causes of homelessness and gaining access to stable housing.

Aurora will continue to be an active participant in the Kane County Continuum of Care and will implement the actions and activities outlined in the Continuum’s Homeless Strategy. Also, under PY **Annual Action Plan 2019**

OMB Control No: 2506-0117 (exp. 06/30/2018)
2019, the City has allocated funding mitigate or prevent homelessness by providing assistance to Breaking Free’s Homeless Substance Abuse Treatment Services, Mutual Ground’s Emergency Shelter (domestic violence), and Hesed House’s SEEDs Homeless Services Management.

Additional information regarding the city’s effort to address its special populations as it relates to its partnerships with the Aurora Housing Authority and Kane County Continuum of Care are further described in AP-60 and AP-65, respectively.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Although the City did not receive a 2019 ESG allocation, the City will continue to work closely with the CoC and HUD field office to define requirements for allocating ESG funds for eligible activities to best meet the needs of people in the City.

The City of Aurora is an active participant in the Kane County Continuum of Care (CoC) system and is one of three local governments that provide non-federal funding on an annual basis to cover the cost of CoC administration. The CoC Board is chaired by a City representative, and the City’s largest homeless services provider is a key participant in the CoC’s HMIS planning and evaluation processes. Continuum of Care membership is open throughout the year, to agencies that serve Kane County's homeless residents and individuals that have an interest in ending homelessness in Kane County. The following organizations have participated in the Kane County Continuum of Care’s activities:

- 360 Youth Services
- Association for Individual Development
- Catholic Charities
- Citizen Member
- City of Aurora
- City of Elgin
- Community Crisis Center
- Ecker Center for Mental Health
- Hesed House
- Hines VA Hospital
- Kane County
- Kane County Regional Office of Education
- Lazarus House
- Midwest Shelter for Homeless Veterans
• Mutual Ground
• PADS of Elgin
• Prairie State Legal Services

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities
Table 2 – Agencies, groups, organizations who participated

Identify any Agency Types not consulted and provide rationale for not consulting

Efforts to include all agency types relevant to the Annual Action Plan were contacted for input into the process and development of the 2018 AAP. These efforts included email notices, public notices at the City and three library branches, website notice, newspaper notices, and public hearings. Additionally, the Neighborhood Redevelopment Division communicates throughout the year with the various agencies, partners, and government bodies to gather information and identify needs.

Other local/regional/state/federal planning efforts considered when preparing the Plan

<table>
<thead>
<tr>
<th>Name of Plan</th>
<th>Lead Organization</th>
<th>How do the goals of your Strategic Plan overlap with the goals of each plan?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Continuum of Care</td>
<td>Kane County</td>
<td>The Continuum of Care Kane County was created in 1999 and includes the Kane County CDBG Program Area and the Cities of Aurora and Elgin. The Continuum consists of organizations and agencies that assist individuals and families that are at-risk of being homeless or are in various stages of homelessness. These social service agencies provide: Outreach, Assessment and Intake; Emergency Shelter; Permanent Housing; Permanent Supportive Housing; and Supportive Services. The Continuum is responsible for the coordination of all of the social service agencies throughout the County to ensure that all gaps and overlaps in services are addressed. The City participates in the CoC addresses to further enhance its 2015-2019 Consolidated Plan goal to mitigate or prevent homelessness.</td>
</tr>
</tbody>
</table>

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

Annual Action Plan
2019

OMB Control No: 2506-0117 (exp. 06/30/2018)
Not applicable.
AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

To ensure citizen participation, the City of Aurora adopted a Citizen Participation Plan on September 4, 2010. The Plan can be found here: https://www.aurora-il.org/documents/neighborhoodredevelopment/doc_2010_citizen_participation_plan.pdf

The following dates reflect the City’s public comment periods for its 2019 Annual Action Plan Approval:

1. December 2, 2019 to January 22, 2019

The City’s 2019 Draft Annual Action Plan was made available for public comment on December 2, 2018. The public comment period is scheduled to conclude on January 22, 2019. Copies of the City's draft 2019 Annual Action Plan were available for public display on the beginning dates of each public comment period at the following locations: City of Aurora-Neighborhood Redevelopment, 51 E. Galena Blvd, Aurora, IL; Library-Main, 101 S. River St., Aurora, IL; Library-Eola, 555 S. Eola Rd., Aurora, IL; Library-West, 233 S. Constitution Dr., Aurora, IL; and on the City of Aurora website: www.aurora-il.org.

Per the City's Public Participation Plan, copies of the Annual Action Plan were made available online, at the City's three library branches, and at the City's Neighborhood Redevelopment Division office.
### Citizen Participation Outreach

<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Mode of Outreach</th>
<th>Target of Outreach</th>
<th>Summary of response/attendance</th>
<th>Summary of comments received</th>
<th>Summary of comments not accepted and reasons</th>
<th>URL (If applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Public Meeting</td>
<td>General Public/Non-Profit Organizations</td>
<td>55</td>
<td>Questions were asked by potential applicants with regard to eligible activities and application deadline.</td>
<td>Not applicable</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Public Meeting</td>
<td>General Public/Non-Profit Organizations</td>
<td>The Block Grant Working Committee met to review CDBG funding proposals and to make recommendations to the City Council.</td>
<td>No comments received</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Newspaper Ad</td>
<td>General Public/Non-Profit Organizations</td>
<td>With this newspaper publication, the minimum 30-day public comment period was initiated.</td>
<td>No comments received</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Internet Outreach</td>
<td>General Public/Non-Profit Organizations</td>
<td>With this online publication, the minimum 30-day public comment period was initiated.</td>
<td>No comments received</td>
<td>NA</td>
<td></td>
</tr>
</tbody>
</table>

2019 Annual Action Plan *(HUD approved June 2019)*

OMB Control No: 2506-0117 (exp. 06/30/2018)
<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Mode of Outreach</th>
<th>Target of Outreach</th>
<th>Summary of response/attendance</th>
<th>Summary of comments received</th>
<th>Summary of comments not accepted and reasons</th>
<th>URL (If applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>12-2-18</td>
<td>General Public/Non-Profit Organizations</td>
<td>With this public library notice, the minimum 30-day public comment period was initiated.</td>
<td>No comments received.</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Public Hearing</td>
<td>General Public/Non-Profit Organizations</td>
<td>1 written response</td>
<td>One comment received from Hesed House to express appreciation for 2019 CDBG funding recommendation.</td>
<td>Comment was accepted.</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Public Meeting</td>
<td>General Public/Non-Profit Organizations</td>
<td>0</td>
<td>No comments received</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Public Meeting</td>
<td>General Public/Non-Profit Organizations</td>
<td>0</td>
<td>No comments received</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Public Meeting</td>
<td>General Public</td>
<td>0</td>
<td>No comments received</td>
<td>NA</td>
<td></td>
</tr>
</tbody>
</table>

Table 4 – Citizen Participation Outreach

2019 Annual Action Plan (HUD approved June 2019)
Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The City’s Expected Resources reflect the City’s 2019 CDBG and HOME Funds. The City did not receive 2019 ESG Funds.

The City received a $6M Section 108 Loan Guarantee from HUD in September 2017. Three Million of the $6M Loan was committed to the Aurora Arts Centre economic development activities in December 2017. As the City’s Section 108 Loan Program develops further, the City will seek additional Section 108 projects.
### Anticipated Resources

<table>
<thead>
<tr>
<th>Program</th>
<th>Source of Funds</th>
<th>Uses of Funds</th>
<th>Expected Amount Available Year 1</th>
<th>Expected Amount Available Remainder of ConPlan</th>
<th>Narrative Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG</td>
<td>public - federal</td>
<td>Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services</td>
<td>Annual Allocation: $1,550,613</td>
<td>Program Income: $0</td>
<td>Prior Year Resources: $0</td>
</tr>
<tr>
<td>Program</td>
<td>Source of Funds</td>
<td>Uses of Funds</td>
<td>Expected Amount Available Year 1</td>
<td>Narrative Description</td>
<td></td>
</tr>
<tr>
<td>---------</td>
<td>----------------</td>
<td>------------------------------------------------------------------------------</td>
<td>----------------------------------</td>
<td>-----------------------</td>
<td></td>
</tr>
<tr>
<td>HOME</td>
<td>public - federal</td>
<td>Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA</td>
<td>$688,020 0 0 688,020 0</td>
<td>Please see above statement.</td>
<td></td>
</tr>
</tbody>
</table>

2019 Annual Action Plan *(HUD approved June 2019)*
<table>
<thead>
<tr>
<th>Program</th>
<th>Source of Funds</th>
<th>Uses of Funds</th>
<th>Expected Amount Available Year 1</th>
<th>Expected Amount Available Remainder of ConPlan</th>
<th>Narrative Description</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Annual Allocation: $</td>
<td>Program Income: $</td>
<td>$</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Prior Year Resources: $</td>
<td>Total: $</td>
<td>$</td>
</tr>
<tr>
<td>ESG</td>
<td>public - federal</td>
<td>Conversion and rehab for transitional housing</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Financial Assistance</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Overnight shelter</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Rapid re-housing (rental assistance)</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Rental Assistance Services</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Transitional housing</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Section 108</td>
<td>public - federal</td>
<td>Economic Development</td>
<td>3,000,000</td>
<td>0</td>
<td>3,000,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Housing</td>
<td>0</td>
<td>0</td>
<td>3,000,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Other</td>
<td>0</td>
<td>0</td>
<td>3,000,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

Please see above statement.

The City received a $6M Section 108 Loan Guarantee from HUD in September 2017. Three Million of the $6M Loan was committed to the Aurora Arts Centre economic development activities in December 2017.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied
This AAP outlines activities using funding from different sources, including the Community Development Block Grant Program and the HOME Investment Partnerships Program. Funds are leveraged with those of nonprofit community development, housing and social service partners as well. Many of the 2019 HUD funded projects will be funded by local and private funds. The 2019 projects are required to demonstrate leveraged funds during the application process.

Through CDBG, HOME, and Section 108 Programs, the City collaborates with partners to deliver resources effectively. The City:
- Works with service and housing providers to address the housing needs of the low-mod income residents and special needs populations.
- Works across City Departments/Divisions to complete major capital projects including roadway improvements.

Collaborates with housing providers to fund rehabilitation of existing homes and rehabilitation/redevelopment of private rental housing.

Partners with agencies, non-profits, and private sector entities whose mission and programming further the housing and community development objectives outlined in this Plan.

The above collaborations allow the City to access gas tax, grants, tax credits, gaming and general fund sources to leverage.

The City of Aurora will satisfy HUD’s 25% match requirements by relying upon either its sponsor/developer’s demonstration of a 25% match or its HOME banked resources. Details regarding the match requirements can be found in “AP-90 HOME Program Requirements.”
If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Not applicable.

Discussion

See comments above.
## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Goal Name</th>
<th>Start Year</th>
<th>End Year</th>
<th>Category</th>
<th>Geographic Area</th>
<th>Needs Addressed</th>
<th>Funding</th>
<th>Goal Outcome Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Housing/Improve/Sustain Existing Housing Supply</td>
<td>2015</td>
<td>2019</td>
<td>Affordable Housing</td>
<td>Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts</td>
<td>Housing/Improve Existing Supply</td>
<td>CDBG: $251,532</td>
<td>Homeowner Housing Rehabilitated: 85 Household Housing Unit</td>
</tr>
<tr>
<td>2</td>
<td>Housing/Rental</td>
<td>2015</td>
<td>2019</td>
<td>Affordable Housing</td>
<td>Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts</td>
<td>Housing/Rental</td>
<td>HOME: $516,015</td>
<td>Rental units rehabilitated: 16 Household Housing Unit</td>
</tr>
<tr>
<td>3</td>
<td>Public Services/Elderly/Special Needs</td>
<td>2015</td>
<td>2019</td>
<td>Non-Homeless Special Needs Non-Housing Community Development</td>
<td>Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts</td>
<td>Public Services/Elderly/Special Needs</td>
<td>CDBG: $30,000</td>
<td>Public service activities other than Low/Moderate Income Housing Benefit: 5500 Persons Assisted</td>
</tr>
</tbody>
</table>

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2019 Annual Action Plan *(HUD approved June 2019)*

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OMB Control No: 2506-0117 (exp. 06/30/2018)
<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Goal Name</th>
<th>Start Year</th>
<th>End Year</th>
<th>Category</th>
<th>Geographic Area</th>
<th>Needs Addressed</th>
<th>Funding</th>
<th>Goal Outcome Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>Public Services/Employment Training</td>
<td>2015</td>
<td>2019</td>
<td>Non-Housing Community Development</td>
<td>Neighborhood Revitalization Strategy Area City of Aurora</td>
<td>Public Services/Employment Training</td>
<td>CDBG: $50,000</td>
<td>Public service activities other than Low/Moderate Income Housing Benefit: 10 Persons Assisted</td>
</tr>
<tr>
<td>5</td>
<td>Public Services/Homelessness</td>
<td>2015</td>
<td>2019</td>
<td>Homeless</td>
<td>City of Aurora</td>
<td>Public Services/Homelessness</td>
<td>CDBG: $127,500</td>
<td>Homelessness Prevention: 930 Persons Assisted</td>
</tr>
<tr>
<td>6</td>
<td>Administration (CDBG/HOME/ESG)</td>
<td>2015</td>
<td>2019</td>
<td>CDBG/HOME Admin/CHDO Operating Costs/ESG</td>
<td>Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts</td>
<td>CDBG Administration HOME Program administration</td>
<td>CDBG: $310,122 HOME: $68,802 ESG: $0</td>
<td>Other: 201110 Persons Assisted</td>
</tr>
<tr>
<td>8</td>
<td>Neighborhood Revitalization/Improvements</td>
<td>2015</td>
<td>2019</td>
<td>Non-Housing Community Development</td>
<td>Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts</td>
<td>Neighborhood Improvements/Revitalize</td>
<td>CDBG: $731,459</td>
<td>Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 12000 Persons Assisted</td>
</tr>
</tbody>
</table>

2019 Annual Action Plan (HUD approved June 2019)
<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Goal Name</th>
<th>Start Year</th>
<th>End Year</th>
<th>Category</th>
<th>Geographic Area</th>
<th>Needs Addressed</th>
<th>Funding</th>
<th>Goal Outcome Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>Economic Development (Section 108)</td>
<td>2017</td>
<td>2019</td>
<td>Affordable Housing</td>
<td>Neighborhood Revitalization</td>
<td>Economic Development/Small Businesses</td>
<td>CDBG: $0 Section 108: $3,000,000</td>
<td>Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 10000 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 29 Households Assisted Jobs created/retained: 29 Jobs</td>
</tr>
<tr>
<td>10</td>
<td>Economic Development/Micro-Businesses</td>
<td>2015</td>
<td>2019</td>
<td>Non-Housing Community Development</td>
<td>Neighborhood Revitalization</td>
<td>Economic Development/Micro-Businesses</td>
<td>CDBG: $50,000</td>
<td>Businesses assisted: 5 Businesses Assisted</td>
</tr>
<tr>
<td>Sort Order</td>
<td>Goal Name</td>
<td>Start Year</td>
<td>End Year</td>
<td>Category</td>
<td>Geographic Area</td>
<td>Needs Addressed</td>
<td>Funding</td>
<td>Goal Outcome Indicator</td>
</tr>
<tr>
<td>------------</td>
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<td>------------------------</td>
</tr>
<tr>
<td>11</td>
<td>CHDO Operating Costs</td>
<td>2015</td>
<td>2019</td>
<td>Affordable Housing</td>
<td>City of Aurora</td>
<td>HOME/CHDO Projects</td>
<td>HOME: $103,203</td>
<td>Rental units rehabilitated: 4 Household Housing Unit</td>
</tr>
</tbody>
</table>

Table 6 – Goals Summary

Goal Descriptions

<table>
<thead>
<tr>
<th></th>
<th>Goal Name</th>
<th>Goal Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Housing/Improve/Sustain Existing Housing Supply</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Housing/Rental</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Public Services/Elderly/Special Needs</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Public Services/Employment Training</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Goal Name</td>
<td>Goal Description</td>
</tr>
<tr>
<td>---</td>
<td>-----------------------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>5</td>
<td>Public Services/Homelessness</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Administration (CDBG/HOME/ESG)</td>
<td>The City did not receive 2019 ESG funds.</td>
</tr>
<tr>
<td>8</td>
<td>Neighborhood Revitalization/Improvements</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Economic Development (Section 108)</td>
<td>The Section 108 loan funds will be borrowed by the City of Aurora and re-lent to one or more Developers, except in cases where the City serves as the developer. Section 108 Loans are available in the following three loan pools: Economic Development ($1M), Affordable Housing Tax Credit Facilitation ($1M) and Public Facilities ($1M).</td>
</tr>
<tr>
<td>10</td>
<td>Economic Development/Micro-Businesses</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>CHDO Operating Costs</td>
<td>Although this SP Goal has been classified as &quot;CHDO Operating Costs, this goal is reflective of the City's entire CHDO activity goal for rental housing development which, pending the CHDO activity, may receive CHDO operating costs related to this activity. If applicable to the project and approved, the CHDO Operating Costs will not exceed HUD's 5% regulatory CHDO operating cap.</td>
</tr>
</tbody>
</table>
Projects

AP-35 Projects – 91.220(d)

Introduction

The City allocates funding for projects based on the priority needs as established the Goals and Objectives in its 2015-2019 Consolidated Plan. For the 2019 Program Year, the City has approved the use of funds to address the needs related to the following: housing, neighborhood revitalization, public services, homelessness prevention, economic development, and program administration.

Projects

<table>
<thead>
<tr>
<th>#</th>
<th>Project Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Housing: Improve existing housing</td>
</tr>
<tr>
<td>2</td>
<td>Public Services</td>
</tr>
<tr>
<td>3</td>
<td>Neighborhood Revitalization/Improvements</td>
</tr>
<tr>
<td>4</td>
<td>Administration (CDBG and HOME)</td>
</tr>
<tr>
<td>5</td>
<td>Economic Development: Micro Businesses</td>
</tr>
<tr>
<td>6</td>
<td>Housing: Rental (HOME)</td>
</tr>
<tr>
<td>7</td>
<td>CHDO Project (HOME)</td>
</tr>
<tr>
<td>8</td>
<td>Section 108 Economic Development Loan Pool</td>
</tr>
<tr>
<td>9</td>
<td>Section 108 Affordable Housing Tax Credit Facilitation Loan Pool</td>
</tr>
<tr>
<td>10</td>
<td>Section 108 Public Facilities Loan Pool</td>
</tr>
</tbody>
</table>

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

For the most part, CDBG funds will be used to address the needs on a city-wide basis with the beneficiary being an individual of low-to moderate income (limited-clientele benefit). However, activities that provide a benefit on an area basis do so in areas that are determined to have a low-to moderate income population of at least 51%. A map attached to this Plan presents data on the low-to moderate income areas of the City of Aurora.

The major obstacles to addressing underserved needs are as follows.

- The City’s ability to provide comprehensive service delivery has been hindered by continuing cutbacks in funding for social services. The lack of awareness concerning service availability and
the lack of transportation impede effective delivery of services to those who need them.

- Efforts to adequately house the homeless are hindered by a lack of adequate space, by the lack of year round facilities, and by the aforementioned service delivery issues. The number of homeless people is increased by a lack of transitional and permanent affordable housing.
- The City’s general fund has insufficient resources to make needed infrastructure and public facility improvements, and important needs go unattended as a result.
AP-38 Project Summary

Project Summary Information
<table>
<thead>
<tr>
<th></th>
<th>Project Name</th>
<th>Housing: Improve existing housing</th>
</tr>
</thead>
</table>
| Target Area                     | Neighborhood Revitalization Strategy Area  
City of Aurora          |
| Goals Supported                 | Housing/Improve/Sustain Existing Housing Supply |
| Needs Addressed                 | Housing/Improve Existing Supply         |
| Funding                         | CDBG: $251,532                       |
| Description                     | This project provides for housing rehabilitation to improve existing housing supply with units brought to code, made energy efficient and accessible. |
| Target Date                     | 12/31/2019                           |
| Estimate the number and type of families that will benefit from the proposed activities | Approximately 85 low and moderate income households will benefit. |
| Location Description            | City-wide. Applicants will be qualified at the following agencies:  
CDBG-2019-02: The Neighbor Project, 32 S. Broadway, Aurora, IL 60505 ($115,000) |
| Planned Activities              | The City will partner with Rebuilding Together Aurora ($136,532) and The Neighbor Project ($115,000) to provide income-qualified homeowners with financial assistance to improve the City's existing housing supply with units brought up to code, made energy efficient, and accessible. Rebuilding Together Aurora's Safe at Home Grant Program focuses specifically upon households owned by special needs or disabled residents which are owner occupied. The Neighbor Project's Deferred Loan Program focuses specifically on addressing emergency code and safety housing repairs. Both programs offer up to $4,999 in financial assistance. |

<table>
<thead>
<tr>
<th></th>
<th>Project Name</th>
<th>Public Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Area</td>
<td>City of Aurora</td>
<td></td>
</tr>
</tbody>
</table>
| Goals Supported                 | Public Services/Elderly/Special Needs  
Public Services/Employment Training  
Public Services/Homelessness |

2019 Annual Action Plan *(HUD approved June 2019)*
<table>
<thead>
<tr>
<th>Needs Addressed</th>
<th>Public Services/Youth</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Public Services/Elderly/Special Needs</td>
</tr>
<tr>
<td></td>
<td>Public Services/Employment Training</td>
</tr>
<tr>
<td></td>
<td>Public Services/Homelessness</td>
</tr>
<tr>
<td>Funding</td>
<td>CDBG: $207,500</td>
</tr>
<tr>
<td>Description</td>
<td>This project supports public services agencies that provide supportive services for youth, unemployed persons, and homelessness prevention.</td>
</tr>
<tr>
<td>Target Date</td>
<td>12/31/2019</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>Approximately 940 City of Aurora low and moderate income individuals (as well as families) who face challenges such as:</td>
</tr>
<tr>
<td></td>
<td>Chronic Homelessness</td>
</tr>
<tr>
<td></td>
<td>Mentally Ill</td>
</tr>
<tr>
<td></td>
<td>Chronic Substance Abuse</td>
</tr>
<tr>
<td></td>
<td>Veterans</td>
</tr>
<tr>
<td></td>
<td>Persons with HIV/AIDS</td>
</tr>
<tr>
<td></td>
<td>Victims of Domestic Violence</td>
</tr>
<tr>
<td></td>
<td>Non-housing Community Development</td>
</tr>
<tr>
<td>Location Description</td>
<td>Citywide. Specific locations are noted under “Planned Activities” section.</td>
</tr>
</tbody>
</table>
Planned Activities

CDBG-2019-03: Breaking Free, Inc. ($25,000)
Hesed House Comprehensive Resource Center, 680 S. River St., Aurora, IL 60506
The provision of substance use disorder screening, assessment and outpatient treatment services provided by Breaking Free Inc. at the Hesed House facility.

CDBG-2019-04: Mutual Ground ($50,000)
418 Oak Avenue, Aurora, IL 60506
Mutual Ground provides shelter, advocacy, and education to victims of domestic violence and sexual assault.

CDBG-2019-05: Prairie State Legal ($12,500)
1024 W. Main Street, St. Charles, IL 60174
Legal services to aid low income Aurora residents with rental housing issues that may result in homelessness if not addressed.

CDBG-2019-06: Public Action Deliver Shelter, Inc. DBA Hesed House ($25,000)
659 S. River St., Aurora, IL 60506
Provide case management to homeless persons.

CDBG-2019-07: City of Aurora Innovation and Core Services Department ($50,000)
44 E. Downer Place, Aurora, IL 60507
Provide out of school youth between the ages of 18 and 24 with opportunities for career exploration, skills development and job referral in the information technology industry.

CDBG-2019-08: Senior Services Associates ($30,000)
900 N. Lake St., Aurora IL 60506.
Provide services to senior citizens and disabled (ages 18+) clients.

<table>
<thead>
<tr>
<th>3</th>
<th>Project Name</th>
<th>Neighborhood Revitalization/Improvements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Area</td>
<td>Neighborhood Revitalization Strategy Area</td>
<td></td>
</tr>
<tr>
<td></td>
<td>City of Aurora</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Low-Mod Census Tracts</td>
<td></td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Neighborhood Revitalization/Improvements</td>
<td></td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Neighborhood Improvements/Revitalization</td>
<td></td>
</tr>
<tr>
<td>Funding</td>
<td>CDBG: $731,459</td>
<td></td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Initiate improvement efforts in locally selected geographical areas that complement City Neighborhood Plans. Following the close of the City’s 2018 Program Year, any unexpended CDBG program administration and public services funds will be reallocated to the Neighborhood Revitalization Category. The estimated 2019 Neighborhood Revitalization allocations are as follows: CDBG-2019-09: City of Aurora Engineering Division ($500,000) 44 E. Downer Place, Aurora, IL 60507 Street resurfacing project for streets within low-moderate income census tracts. 2019 City of Aurora Neighborhood Revitalization Project, TBD: $231,459</td>
<td></td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>12/31/2020</td>
<td></td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>TBD, depending upon LMI Census Tract areas that are selected for construction.</td>
<td></td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td>Initiate improvement efforts in locally selected geographical areas that complement the City Neighborhood Plans, especially within the NRSA and LMI Census Tracts. TBD.</td>
<td></td>
</tr>
<tr>
<td><strong>Planned Activities</strong></td>
<td>The City of Aurora Engineering Division will oversee a street resurfacing project for streets within low-moderate income census tracts ($500,000). An additional $231,459 has been allocated for a Neighborhood Revitalization Project TBD at a later date. Once selected, the project will be approved via the City’s Substantial Amendment public participation process. Additionally, any unexpended 2018 program administration and public service funds will be reallocated to this category.</td>
<td></td>
</tr>
</tbody>
</table>

<p>| <strong>Project Name</strong> | Administration (CDBG and HOME) |
| <strong>Target Area</strong> | Neighborhood Revitalization Strategy Area City of Aurora Low-Mod Census Tracts |
| <strong>Goals Supported</strong> | Administration (CDBG/HOME/ESG) |
| <strong>Needs Addressed</strong> | CDBG Administration HOME Program administration |
| <strong>Funding</strong> | CDBG: $310,122 HOME: $68,802 |</p>
<table>
<thead>
<tr>
<th>Description</th>
<th>City of Aurora administration of the CDBG and HOME federal programs. (ESG Administration is included under its Homelessness Prevention Project.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Date</td>
<td>12/31/2019</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>201,110 citizens (2016 estimate). This shall address community development, affordable rental housing development, and homelessness prevention needs for low to moderate income persons and households throughout the City, although the City may place greater emphasis on those neighborhoods that meet the HUD threshold for low and moderate income areas.</td>
</tr>
<tr>
<td>Location Description</td>
<td>Citywide</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>City of Aurora administration of the CDBG and HOME federal programs which includes coordination with the City’s HUD subrecipients, developers, and grantees as well as monitoring, financial recordkeeping, reporting, etc.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Economic Development: Micro Businesses</th>
</tr>
</thead>
</table>
| Target Area | Neighborhood Revitalization Strategy Area  
City of Aurora  
Low-Mod Census Tracts |
| Goals Supported | Economic Development/Micro-Businesses |
| Needs Addressed | Economic Development/Micro-Businesses |
| Funding | CDBG: $50,000 |
| Description | The project’s activity will offer micro-loans to Aurora micro- and small business owners. |
| Target Date | 12/31/2019 |
| Estimate the number and type of families that will benefit from the proposed activities | 5 low and moderate income business owners and/or business owners within LMI census tract areas, with priority given to NRSA business owners. |
| Location Description | NRSA and LMI areas within the City of Aurora |
**Planned Activities**

A forgivable loan program to make micro- and small business forgivable loans between $5,000 - $15,000 that support entrepreneurs who may be unable to access traditional financing and who are seeking to establish, stabilize, or expand their business activities within the City's Neighborhood Revitalization Strategy Area (NRSA) or LMI neighborhood. Applicants will be awarded loans on a 90/10 basis, with the applicant required to demonstrate a 10% match.

This program will be administered by Invest Aurora, 43 W. Galena Blvd., Aurora, IL 60505. ($50,000)

<table>
<thead>
<tr>
<th>6</th>
<th><strong>Project Name</strong></th>
<th>Housing: Rental (HOME)</th>
</tr>
</thead>
</table>
| **Target Area** | Neighborhood Revitalization Strategy Area  
City of Aurora |
| **Goals Supported** | Housing/Rental |
| **Needs Addressed** | Housing/Rental |
| **Funding** | HOME: $516,015 |
| **Description** | This project will redevelop or create rental units; replacement units for scattered site housing, elderly, special needs or homeless. The City will work with a developer to provide affordable rental housing for low and moderate income homeowners. HOME funded projects will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments. |
| **Target Date** | 12/31/2019 |
| **Estimate the number and type of families that will benefit from the proposed activities** | This project will redevelop or create approximately 16 rental units; replacement units for scattered site housing, elderly, special needs or homeless. The City will work with a developer to develop affordable rental housing for tenants who are at 40-80% of AMI. |
| **Location Description** | City of Aurora |
| **Planned Activities** | HOME funded projects will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments. |

| 7 | **Project Name** | CHDO Project (HOME) |
| **Target Area** | Neighborhood Revitalization Strategy Area  
City of Aurora  
Low-Mod Census Tracts |
| Goals Supported          | Housing/Rental  
                          | CHDO Operating Costs |
|-------------------------|-----------------|
| Needs Addressed         | Housing/Rental  
                          | HOME/CHDO Projects   |
| Funding                 | HOME: $103,203  |
| Description             | The City will work with a Community Housing Development Organization(s) (CHDO) to develop affordable rental housing units. The City will work with a developer to provide affordable rental housing for low and moderate income homeowners. HOME funded projects will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments. |
| Target Date             | 12/31/2019      |
| Estimate the number and type of families that will benefit from the proposed activities | Low - moderate income households will benefit from this activity. The City will work with a Community Housing Development Organization to ensure that affordable rental housing is provided for residents whose income levels are at 40-80% of AMI. |
| Location Description    | CHDO project location(s) will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments. |
| Planned Activities      | CHDO projects will be determined at a future date and approved via the City's Citizen Participation Plan for Substantial Amendments. |
| Project Name            | Section 108 Economic Development Loan Pool |
| Target Area             | Neighborhood Revitalization Strategy Area  
                          | City of Aurora  
                          | Low-Mod Census Tracts |
| Goals Supported         | Economic Development (Section 108) |
| Needs Addressed         | Economic Development/Small Businesses  
<pre><code>                      | Economic Development/Micro-Businesses |
</code></pre>
<p>| Funding                 | Section 108: $1,000,000 |</p>
<table>
<thead>
<tr>
<th>Description</th>
<th>On a case-by-case basis, the Section 108 loan funds will be borrowed by the City of Aurora and re-lent to one or more Developers, except in cases where the City serves as the Principal Developer. Under this activity, the Section 108 loan funds may also be loaned to a not-for-profit entity (or subrecipient) who will act as Program Administrator for this program. The Program Administrator will be a private non-profit entity. The City will have a Subrecipient Agreement with the Program Administrator and it will be the responsibility of the Program Administrator to solicit and underwrite loans, manage accounting and reporting, and work with loan clients.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Date</td>
<td>12/31/2020</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>Low and moderate income families</td>
</tr>
<tr>
<td>Location Description</td>
<td>City of Aurora</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>TBD</td>
</tr>
<tr>
<td>Project Name</td>
<td>Section 108 Affordable Housing Tax Credit Facilitation Loan Pool</td>
</tr>
<tr>
<td>Target Area</td>
<td>Neighborhood Revitalization Strategy Area</td>
</tr>
<tr>
<td></td>
<td>City of Aurora</td>
</tr>
<tr>
<td></td>
<td>Low-Mod Census Tracts</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Housing/Rental</td>
</tr>
<tr>
<td></td>
<td>Economic Development (Section 108)</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Housing/Rental</td>
</tr>
<tr>
<td>Funding</td>
<td>Section 108: $1,000,000</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>The applicant for the 108 loan funds will be the City of Aurora. The City will borrow and initially re-lend the funds to non-profit and for-profit developers for the purposes of property development, construction, and management of workforce housing development. Each project will have a principal developer and equity will be provided thru Low Income Housing Tax Credits. The City of Aurora may provide either interim construction financing or permanent project financing thru the use of 108 funds. Upon completion of construction, the City will expect a general partnership to own, operate, and manage subject properties. All funds requested for this project will be used to facilitate work force housing for LMI residents as well as permanent supportive housing. Additionally, the funds will be used as bridge/gap financing for low income tax credits.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>12/31/2020</td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>Low and moderate income families.</td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td>City of Aurora, location TBD.</td>
</tr>
<tr>
<td><strong>Planned Activities</strong></td>
<td>TBD</td>
</tr>
<tr>
<td><strong>Project Name</strong></td>
<td>Section 108 Public Facilities Loan Pool</td>
</tr>
</tbody>
</table>
| **Target Area** | Neighborhood Revitalization Strategy Area  
City of Aurora  
Low-Mod Census Tracts |
<p>| <strong>Goals Supported</strong> | Neighborhood Revitalization/Improvements |
| <strong>Needs Addressed</strong> | Neighborhood Improvements/Revitalization |
| <strong>Funding</strong> | Section 108: $1,000,000 |
| <strong>Description</strong> | The Section 108 loan funds will be borrowed by the City of Aurora and re-lent to one or more Developers, except in cases where the City serves as the developer. The Developer will be a private or a non-profit entity. The City will have a development agreement with the Developer and it will be the responsibility of the Developer to act as, or in some cases, hire the General Contractor. Similarly, all development agreements will contain all necessary requirements related to the disbursement of Section 108 funds within the context of the specific development budget and project. |</p>
<table>
<thead>
<tr>
<th>Target Date</th>
<th>12/31/2020</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>Low and moderate income families.</td>
</tr>
<tr>
<td>Location Description</td>
<td>TBD</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>TBD</td>
</tr>
</tbody>
</table>
AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

City of Aurora: LMH, LMI/LC, LMI/J

NRSA: LMH, LMI/LC, LMI/J, LMA

Low to Moderate Income (LMI) Census Tracts: LMH, LMA, LMI/LC, LMI/J

Aurora's LMI Census Tract and Block Groups include the following (tracts above 50% are considered LMI):

<table>
<thead>
<tr>
<th>TRACT</th>
<th>BLKGRP</th>
<th>LOWMODPCT</th>
</tr>
</thead>
<tbody>
<tr>
<td>854700 1</td>
<td>50.84%</td>
<td></td>
</tr>
<tr>
<td>852907 2</td>
<td>51.11%</td>
<td></td>
</tr>
<tr>
<td>853007 3</td>
<td>51.18%</td>
<td></td>
</tr>
<tr>
<td>854302 1</td>
<td>51.46%</td>
<td></td>
</tr>
<tr>
<td>854301 1</td>
<td>55.25%</td>
<td></td>
</tr>
<tr>
<td>854302 3</td>
<td>55.44%</td>
<td></td>
</tr>
<tr>
<td>853007 1</td>
<td>55.79%</td>
<td></td>
</tr>
<tr>
<td>852905 2</td>
<td>56.00%</td>
<td></td>
</tr>
<tr>
<td>853200 2</td>
<td>56.57%</td>
<td></td>
</tr>
<tr>
<td>852906 1</td>
<td>57.33%</td>
<td></td>
</tr>
<tr>
<td>854100 3</td>
<td>58.00%</td>
<td></td>
</tr>
<tr>
<td>854002 5</td>
<td>58.08%</td>
<td></td>
</tr>
<tr>
<td>853600 2</td>
<td>58.76%</td>
<td></td>
</tr>
<tr>
<td>853500 1</td>
<td>60.29%</td>
<td></td>
</tr>
<tr>
<td>846515 3</td>
<td>61.67%</td>
<td></td>
</tr>
<tr>
<td>852905 1</td>
<td>61.94%</td>
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</tr>
<tr>
<td>854400 3</td>
<td>62.20%</td>
<td></td>
</tr>
<tr>
<td>853007 2</td>
<td>62.82%</td>
<td></td>
</tr>
<tr>
<td>854301 4</td>
<td>63.19%</td>
<td></td>
</tr>
<tr>
<td>853300 1</td>
<td>63.35%</td>
<td></td>
</tr>
<tr>
<td>853400 5</td>
<td>63.81%</td>
<td></td>
</tr>
<tr>
<td>853300 2</td>
<td>63.89%</td>
<td></td>
</tr>
<tr>
<td>853400 6</td>
<td>64.58%</td>
<td></td>
</tr>
<tr>
<td>853600 4</td>
<td>64.64%</td>
<td></td>
</tr>
<tr>
<td>853600 1</td>
<td>65.35%</td>
<td></td>
</tr>
<tr>
<td>853600 3</td>
<td>65.86%</td>
<td></td>
</tr>
</tbody>
</table>

2019 Annual Action Plan (HUD approved June 2019)

OMB Control No: 2506-0117 (exp. 06/30/2018)
853008 1 66.36%
854200 3 66.46%
853400 1 66.67%
853300 3 66.92%
853400 2 67.16%
852905 3 68.30%
854400 6 69.30%
852904 1 70.02%
853500 3 70.98%
854301 3 71.83%
852907 3 71.96%
853200 1 74.24%
853005 3 74.47%
854200 1 74.47%
853400 4 74.91%
853100 1 75.09%
854100 4 75.24%
854700 2 75.79%
853200 3 76.23%
853005 2 77.33%
853100 2 78.76%
854400 2 79.86%
853006 2 83.52%
854400 1 85.98%
853500 4 87.23%
854100 2 89.15%

Geographic Distribution

<table>
<thead>
<tr>
<th>Target Area</th>
<th>Percentage of Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Neighborhood Revitalization Strategy Area</td>
<td>0</td>
</tr>
<tr>
<td>City of Aurora</td>
<td>100</td>
</tr>
<tr>
<td>Low-Mod Census Tracts</td>
<td>26</td>
</tr>
</tbody>
</table>

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

One Hundred percent of the City’s HUD funds are allocated to agencies who provide services for City of Aurora residents. The City’s HUD funded activities take place city-wide and are based upon client need, some of whom may reside in the City’s NRSA. The City’s neighborhood revitalization projects focusing
on resurfacing roads and are targeted for the City's LMI tract areas. Of the City's 2019 allocation, $731,459 has been allocated to this neighborhood revitalization project.

Discussion

The overall mission of the CDBG, HOME and ESG programs is to improve the lives of lower income persons. The City will design and fund some program activities to help income qualifying households wherever they live. Some of these programs will focus on the improvement of specific neighborhoods where lower income live, whereas other programs will be designed and funded to promote greater choice in housing or employment or supportive services opportunities, regardless of location.
**Affordable Housing**

**AP-55 Affordable Housing – 91.220(g)**

**Introduction**

Based on the data included in the HUD tables and available to the City of Aurora, as well as the preferences and observations expressed during the community-wide and stakeholder surveys, the focus groups and the special information interviews, the City has identified these higher priority housing needs for the upcoming 2015-2019 period:

Existing housing in need of repairs of renovations in order to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards, and owned and occupied by income-eligible households whose housing costs exceed 30% of income. Households between 40% and 80% of median income who are trying to purchase their own homes and contribute to the stabilization of neighborhoods within the city. Income-eligible renter households with housing cost burdens greater than 30% of their income, living in housing in need of repairs or renovation in order to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards. Very low-income households at risk of becoming homeless or households who are currently homeless and very mobile within Aurora, and who are proportionately larger users of human services, health care, or emergency. Additional information regarding the city’s effort to address its special populations as it relates to its partnerships with the Aurora Housing Authority and Kane County Continuum of Care are further described in AP-60 and AP-65, respectively.

<table>
<thead>
<tr>
<th>One Year Goals for the Number of Households to be Supported</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Homeless</strong></td>
</tr>
<tr>
<td><strong>Non-Homeless</strong></td>
</tr>
<tr>
<td><strong>Special-Needs</strong></td>
</tr>
<tr>
<td><strong>Total</strong></td>
</tr>
</tbody>
</table>

*Table 9 - One Year Goals for Affordable Housing by Support Requirement*

<table>
<thead>
<tr>
<th>One Year Goals for the Number of Households Supported Through</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Rental Assistance</strong></td>
</tr>
<tr>
<td><strong>The Production of New Units</strong></td>
</tr>
<tr>
<td><strong>Rehab of Existing Units</strong></td>
</tr>
<tr>
<td><strong>Acquisition of Existing Units</strong></td>
</tr>
<tr>
<td><strong>Total</strong></td>
</tr>
</tbody>
</table>

*Table 10 - One Year Goals for Affordable Housing by Support Type*

**Discussion**

The number of households to be supported reflects the anticipated number of homes to receive housing

2019 Annual Action Plan *(HUD approved June 2019)*

OMB Control No: 2506-0117 (exp. 06/30/2018)
rehabilitation assistance through the City’s partners, Rebuilding Together Aurora (RTA) and The Neighbor Project. (Because the RTA's CDBG funded program serves only disabled and/or senior citizen clients, the RTA's proposed 61 units to be rehabilitated have been identified under the special-needs category.)

The one-year goal for the production and/or acquisition of affordable units will be achieved through the City’s HOME Program and is generally set at 16, with an additional estimated 4 units to be added via the City’s partnership with a CHDO. The City will solicit proposals at a future date and commit HOME funds via the City’s Citizen Participation Plan’s process.
AP-60 Public Housing – 91.220(h)

Introduction

The Aurora Housing Authority (AHA) provides public housing within the corporate limits of the City of Aurora. The City includes the Aurora Housing Authority (AHA) management in its communication and notification efforts regarding the Development of its AAP.

Since the establishment of the City’s 2015-2019 Consolidated Plan, the AHA has been involved on housing projects that are more in line with the City’s housing policies and housing plans, including the use of Housing Authority funds for leverage with private or non-profit partners in tax credit or other publicly financed projects. In fact, most notably in 2015 and in 2016, the AHA provided Project Based Vouchers on two LIHTC projects.

As the Responsible Entity for the AHA’s ERRs, the City also performs the environmental review for the AHA’s housing projects.

Actions planned during the next year to address the needs to public housing

Following the conclusion and recommendations of the City’s Affordable Housing Task Force in June of 2012, the City immediately began working with the Aurora Housing Authority (AHA) on one of the most well-received housing models explored by the Task Force. This model involved utilizing Low Income Housing Tax Credits (LIHTC) for a development that consisted of scattered-site, single-family units with an option-to-purchase for the low-income residents. This Aurora scattered-site project was completed in 2014 and created 40 affordable rental housing units throughout the City. This LIHTC project, the Aurora Impact Initiative nka Fox Prairie Homes Development received partial funding from the City’s NSP and HOME Programs. Following the successful completion of this project with project’s developer, Brinshore development, the AHA partnered on a second project with the City and the project’s developer, Evergreen Realty, on a senior housing affordable rental housing development. HOME funds were committed in December 2015 on this LIHTC project, and the project was completed in May 2017. Forty-four PBVs were provided by the AHA for this project, which created 56 affordable rental units and four market-rate units. In summer 2016, the City launched efforts to partner with another affordable housing developer, the Community Builders on another LIHTC project. Although the project will not be completed until the close of 2018, the AHA has committed 20 PBVs to this 76 unit project, of which 38 units will be rehabilitated and another 38 will be created for LMI tenants.

Additionally, the AHA’s Strategic Plan 2013 - 2018 outlines the following major initiatives for Year 5
(April 2017 to March 2018) to address its public housing needs.

2. Social Media Activity (managed social media presence, targeted media marketing tie-in spring 2017)

5. Jericho Circle Multi Use Complex (construction phase – summer 2017 completion summer 2019)

6. Strategic Planning Process (Second 5 year increment plan developed Fall 2017)

7. Self Sufficiency/Entrepreneurship Institute (expansion of operation to include venture capital Fall 2017)

8. Resident Outcomes Statistical Modeling (full utilization, using programming to determine outcomes, studying results of AHA actions - winter 2018)

9. Vehicle Replacement (trade in and replacement of Year 2 vehicles)

10. Tiered Units (5 scattered site homes, 5 apartments, if feasible 3 tier 4 units)

11. Marketing Activity (continuation of all campaigns, emphasis on self-sufficiency).

As always, the City will continue to review partnership opportunities to further enhance the AHA’s affordable housing opportunities. For example, during prior program years, the City partnered with the Northern Lights Development Corporation on a Blight Reduction Program (funded through IHDA) wherein blighted properties were demolished and the future intent is to provide affordable rental housing opportunities. Additionally, the City always endeavors to improve the quality of life for the AHA’s residents, and includes the AHA’s housing projects in its neighborhood needs assessment efforts. For example, the City has provided local funding support for programs that will provide opportunities such as job training and employment for at-risk youth who live within the housing projects.

**Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The AHA’s Strategic Plan specifically addresses the need to provide a homeownership program by partnering with local banks and other lending institutions to provide refinancing and cost control assistance. Under this Plan, the AHA expresses the intent to provide down payment assistance, credit repair, lending assistance and foreclosure prevention. Additionally, the AHA recognizes the benefit for assisting current homeowners in preventing foreclosure. The AHA’s Plan also includes their goals regarding support services for rental assistance, credit, education and family services support. The plan
recognizes the need to follow-up with residents to further ensure their self-sufficiency.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Aurora Housing Authority has been designated as "troubled". To date, recovery plan efforts are underway between the AHA and HUD to resolve the issues related to the AHA’s troubled status. The AHA recently filled its Executive Director position. The City’s Chief Community Services Officer has met with the new Executive Director and Board President, and it is the City’s understanding that steps are in place to clear the troubled status designation. At this point, there is not a need for the City to provide financial assistance. The City will continue to be available for technical assistance to evaluate options for improving operations, resolving non-compliance problems, and identifying other housing-related needs and issues. When feasible, similar to the City’s prior housing development projects with the AHA, the City will seek to reach out to the AHA to partner on future projects. In its continued assessment of the AHA’s needs and proposed projects, the City will also review any proposed projects to determine whether or not its HUD funds can be used to assist the AHA in accomplishing its housing goals and objectives.

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Although the City did not receive a 2019 ESG funding award, Aurora will to address its homelessness prevention by continuing its participation in the Kane County Continuum of Care and will implement the actions and activities outlined in the Homeless Strategy as written in this Consolidated Plan.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Aurora will continue to be an active participant in the Kane County Continuum of Care and will implement the actions and activities outlined in the Continuum’s Homeless Strategy. Also, under PY 2019, the City has allocated funding mitigate or prevent homelessness by providing assistance to Breaking Free’s Homeless Substance Abuse Treatment Services, Mutual Ground’s Emergency Shelter (domestic violence), and Hesed House’s SEEDs Homeless Services Management.

Addressing the emergency shelter and transitional housing needs of homeless persons

In addition to its CoC Partnership, the City has allocated funding to mitigate or prevent homelessness by providing assistance to Mutual Ground, Breaking Free and the Hesed House SEEDs Homeless Services.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Special needs persons will benefit from 2019 funding allocated to Senior Services Associates and Rebuilding Together Aurora for the following programs:

Supportive services for the elderly and disabled going to Senior Services Associates

Increase and improve quality of facilities including funding to Rebuilding Together Aurora for home
Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Although not funded under the 2019 Program Year, AID is the primary provider of supportive housing services for individuals returning from mental health facilities and nursing homes. AID, Senior Services, Day One Network and the Department of Rehabilitation Services collaborate to assist those capable of leaving nursing homes, to live with support services in their own homes and apartments. AID is a long-standing HOME CHDO partner and partner in the Aurora Arts Centre Project. AID will provide supportive services for residents living at Coulter Courts.

Discussion

In addition to its HUD funds, City also supports homelessness prevention by allocating local funds through its Quality of Life Grant Program to area non-profit homelessness prevention programs: AID, Aurora Area Interfaith Food Pantry, Indian Prairie Education Foundation, Marie Wilkinson Food Pantry, and OLGC – Hope Takes Action.
AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

In analyzing barriers to affordable housing development, city staff identified five currently known barriers:

- Market conditions that, in the past, placed upward pressure on housing prices, but over the last 5 years, economic conditions have slowed or halted new development and severely restricted the availability of financial assistance especially to low income households.

- Local processes for land planning and zoning

- Building codes

- High demand for housing and high cost of housing

- Community resistance to affordable housing including Fair housing issues.

In 209, the City will also continue its first-time homeowners down payment assistance program, Choose Aurora, which provides forgivable loans in the amount of $3,000 (to City homebuyers outside of the City’s NRSA) or $5,000 (for NRSA homebuyers).

Similar to other cities across the country, Aurora continues to balance its housing policies objectives and resources across several competing public goals.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Continue to coordinate housing development within the City across various departments that relate to the development and maintenance of the housing stock.

The City will continue to fund its housing rehabilitation programs which focus on address code compliance and safety upgrades.

All HOME activities will address accessibility to affordable housing through HOME regulations regarding 2019 Annual Action Plan (HUD approved June 2019)
High and Low HOME rents and long term affordability periods.

**Discussion:**

Similar to other cities across the country, Aurora continues to balance its housing policies objectives and resources across several competing public goals.

Given the number of existing housing units (47% of the owner-occupied units and 60% of the renter occupied units) built before 1980, the City continues to place an emphasis on the preservation of the existing housing stock within its housing policies. Parallel to this priority, the City has also tried to redevelop older deteriorated areas of the city, especially along the riverfront and near the downtown, with a mixture of new residential and commercial and public uses.
AP-85 Other Actions – 91.220(k)

Introduction:

The following actions will be taken to address obstacles to meeting underserved needs; to foster and maintain affordable housing; to reduce lead-based paint hazards; to reduce the number of poverty-level families; to develop institutional structure; to enhance coordination between public and private housing and social service agencies.

Actions planned to address obstacles to meeting underserved needs

Given the data and input, the City has set a high need priority for income levels from 0% - 80% of medium family income, for both renters and owners, and for large-related, small-related, and elderly housing. We realize we are unable to address all of these high needs with resources available to us, so we have prioritized and will focus on the following over the next five years:

Existing housing in need of repairs or renovations in order to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards, and owned and occupied by income-eligible households whose housing costs exceed 30% of income.

Households between 40% and 80% of median income who are trying to purchase their own homes and contribute to the stabilization of neighborhoods within the city.

Income-eligible renter households with housing cost burdens greater than 30% of their income, living in housing in need of repairs or renovation in order to meet current building codes, lead paint mitigation standards, or greater energy efficiency standards.

Very low-income households at risk of becoming homeless or households who are currently homeless and very mobile within Aurora, and who are proportionately larger users of human services, health care, or emergency services.

Actions planned to foster and maintain affordable housing

The cost of housing negatively affects low-income residents and their ability to afford and maintain their homes. The City of Aurora is committed to improving the quality of its existing housing stock. The housing activities funded for this program year address the rehabilitation of housing, initiatives to house special needs populations, encourage homeownership, reduce lead-based paint hazards and
Actions planned to reduce suitable rental housing, especially for people with special needs.

Actions planned to reduce lead-based paint hazards

As part of the environmental review process, all CDBG direct housing assistance activities address lead-based paint hazards. Also, all HOME direct housing assistance activities address lead-based paint hazards through the City of Aurora Property and Rehabilitation Standards, which cites compliance with Title X Lead Based Paint Regulations and the Environmental Protection Agency Renovation, Repair and Painting Rule (RRP).

The City has and will continue to work diligently to ensure lead safety when assisting units with lead hazards. All aspects of the lead regulations have been incorporated into the City’s program requirements to ensure occupant safety in homes and public facilities which house children (shelters). The City’s CDBG funded housing rehabilitation and down payment assistance programs fall under the $5,000 threshold and presumptions of lead are made. Clients are provided with the EPA’s Protect Your Family from Lead in Your Home and required to sign that they have received the brochure. Housing rehabilitation contractors are required to have their RRP certification. The City will communicate with subrecipients and monitor all projects to ensure that lead-based paint regulations are implemented correctly in rehabilitation projects. Applicable lead-based paint regulations will be followed for all rehabilitation projects in which the building (housing and public facilities which are child-occupied) was constructed before 1978, including required testing for clearance.

Actions planned to reduce the number of poverty-level families

- Promote and assist with economic development through job creations such as providing assistance to small businesses in neighborhoods, including the NRSA, and micro-business loans.
- Assist families with prerequisites to employment by removing barriers to obtaining employment by funding programs/agencies with a record of demonstrated success in running programs such as job training and job-readiness.
- Focus efforts to assist under-served populations in their efforts to become self-sufficient.
- Improve, maintain, and increase the amount of affordable housing units within the City by undertaking housing rehabilitation, reconversion and homebuyer assistance programs.

Actions planned to develop institutional structure

The City will continue to develop partnerships that leverage other agencies and organizations, both public and private. We continue to seek out competitive and or stimulau-based funding sources to support Consolidated Plan objectives. Through CDBG, HOME, and ESG, the City collaborates with
partners to deliver resources effectively. The City will, for example:

Continue its active role in the Continuum of Care for Kane County, which provides housing and other forms of shelter for the homeless.

Continue to work with service and housing providers to address the housing needs of the lower-income, mentally ill, and other special needs populations.

Collaborate with housing providers to extend the reach and effectiveness of housing activities.

Continue to work with area stakeholder organizations (including private lenders, the public schools, Invest Aurora, Waubonsee Community College, Aurora University, the City’s three Chambers of Commerce, physical and health care institutions, youth services providers and others) in order to develop and implement effective service and revitalization strategies, including the Neighborhood Plans and the Neighborhood Revitalization Strategy Area.

Continue to partner with a number of agencies, businesses, and foundations that have pledged their own money to further the housing and community development objectives outlined in this Plan.

At the current level of activity, this structure is complete and effective. It may not be adequate to accommodate significant increases in the level of participation without additional funds to allow for amplification of the process. The City of Aurora will, of course, continue to search for opportunities to expand the existing structure and make it more effective. The opportunities, given the limited availability of and competition for resources, however, are limited.

**Actions planned to enhance coordination between public and private housing and social service agencies**

Throughout the implementation of the its Consolidated Plan, the City of Aurora has coordinated with local organizations and entities, including the following:

Kane County Continuum of Care, Waubonsee Community College, Aurora Housing Authority, Ward Committees, Neighborhood and Homeowners’ Associations, business groups, Kane County Healthy Places Coalition, Fox Valley United Way, and Family Focus Aurora.

This list will likely expand in the future as the City seeks additional partners with each program or activities that is funded to address the goals and objectives of this Plan. The NRD will continue to consult with housing advocates, social service agencies and other entities on a variety of issues by attending and participating in local and regional meetings/planning groups that deal with issues that affect the City’s
most vulnerable populations.

Discussion:

In addition to allocating its HUD funds for the City's community development and housing activities, the City also provides local funding assistance through its Quality of Life Grants, Continuum of Care, and Aurora Rapid Rehousing Case Management budget allocations to several social service agencies who provide child care, youth, homelessness prevention, job training, downtown improvement, and public education services.
Program Specific Requirements
AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

The following Program Specific Requirements provide information for the City’s expected Program Income for 2019 as well as the percentage of CDBG, Section 108, and HOME funds that will be used to benefit low and moderate income residents and to meet the needs of the City’s most vulnerable and underserved populations.

The City did not receive 2019 ESG funds.

Once the City retains consultant services for its Section 108 underwriting activities, the remaining $3M of its Section 108 Loan Guarantee will be made available for eligible activities related to its economic development, affordable housing, and community facility loan pools.

The City does not anticipate that it will have any urgent need activities. The City’s CDBG subrecipient partners are required to meet HUD’s minimum 51% LMI benefit; however, the majority of the City's subrecipients more than exceed the minimum requirement, with several agencies well over 90% LMI benefit.

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed 0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee’s strategic plan. 0
3. The amount of surplus funds from urban renewal settlements 0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan 0
5. The amount of income from float-funded activities 0

Total Program Income: 0

Other CDBG Requirements

1. The amount of urgent need activities 0

2019 Annual Action Plan (HUD approved June 2019)

OMB Control No: 2506-0117 (exp. 06/30/2018)
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. 98.67%

HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

HOME allows virtually any form of financial assistance to be provided for eligible projects and to eligible beneficiaries. The participating jurisdiction (PJ), City of Aurora, determines what forms of assistance it will provide. Some forms of assistance will require legal instruments for implementation. HOME regulations list the following forms of assistance as eligible:

- **Interest or non-interest bearing loans or advances**: These loans are amortizing loans, with or without accruing interest. Repayment is expected on a regular basis so that over a fixed period of time all of the principal and interest is repaid. The term of the loan may vary and the property or some other assets are used as collateral.
- **Deferred Loans (forgivable or repayable)**: These loans are not fully amortized. Instead, some, or even all, principal and interest payments are deferred until some point in the future. Deferred loans can be structured in a variety of ways and terms may differ greatly. Deferred payment loans use the property or some other form of collateral as security for repayment.
- **Grants**: Grants are provided with no requirement or expectation of repayment. They require no liens on the property or other assets.
- **Interest Subsidies**: This is usually an up-front discounted payment to a private lender in exchange for a lower interest rate on a loan.
- **Equity Investments**: An investment made in return for a share of ownership. Under this form of subsidy, the PJ acquires a financial stake in the assisted property and is paid a monetary return on the investment if money is left after expenses and loans are paid.
- **Loan Guarantees and Loan Guarantee Accounts**: HOME funds may be pledged to guarantee loans or to capitalize a loan guarantee account. A loan guarantee or loan guarantee account ensures payment of a loan in case of default.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used
3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Not applicable.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Not applicable.

**Emergency Solutions Grant (ESG)**

**Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

Although the City did not receive a 2019 ESG funding award, Aurora will address its homelessness prevention by continuing its participation in the Kane County Continuum of Care and will implement the actions and activities outlined in the Homeless Strategy as written in this Consolidated Plan.

The Kane County Continuum of Care (CoC) has written standards for providing assistance under the Emergency Solutions Grant. To comply with HUD’s ESG entitlement community requirements and to ensure its ESG program administration requirements, the CoC’s written standards include polices and procedures for: 1) admission, diversion, referral and discharge by emergency shelters, 2) assessing, prioritizing, and reassessing individuals’ and families’ needs, 3) coordination among emergency shelter providers, and 4) determining and prioritizing which eligible families and individuals will receive homelessness prevention assistance and which ones will receive rapid re-housing assistance. The written standards will also include standards for: 1) evaluating individuals’ and families’ ESG eligibility, 2) targeting and providing essential services related to street outreach, 3) determining the percentage or amount of rent and utilities costs each program participant must pay, 4) determining how long a particular program participant will be provided with rental assistance, and 5) determining the type, amount, and duration of housing stabilization and/or relocation services.

Assistance to households under the ESG Program is intended to have a meaningful impact on homelessness and housing stability for participating households. ESG provides a variety of supports...
to achieve the following three assistive elements: preventing people from becoming homeless; diverting people into housing if they are currently applying for shelter; and helping people who become homeless to quickly return to permanent housing. ESG consists of a Homelessness Prevention (HP) component for families and individuals who are at Imminent Risk of Homelessness, Homeless under other Federal Statutes or Fleeing/attempting to flee domestic violence, or those who meet HUD’s at risk of homeless criteria. A Rapid Rehousing (RR) component provides assistance to persons who are Literally Homeless and or Fleeing/attempting to flee domestic violence. Eligible households under HP will be those with extremely low incomes, (below 30% of the Area Median Income (AMI)) who lack resources and support networks for housing and who are homeless or at risk of homelessness. Eligible households under RR include those who are literally homeless.

The CoC’s written standards defining the policies and procedures governing each component of the ESG assistance programs are attached as Attachment 2. Of note, the Kane County CoC receives ESG non-entitlement funds.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The City of Aurora is part of the Kane County Continuum of Care. The Continuum has adopted a “No Wrong Door” approach to Coordinated Entry. Persons seeking assistance are able to gain access to an array of housing interventions and services through multiple access points dispersed throughout the Continuum’s geographic area. Each entry point utilizes the same assessment approach, employing standardized decision-making protocols for prioritizing services for people who may be experiencing homelessness or are at risk of homelessness. Specialized Access Points have been established to meet the unique needs of people who are or have been a victim of domestic violence, dating violence, sexual assault or stalking.

The first phase of the Coordinated Entry process involves pre-screening for diversion or prevention. This step ensures people receive services that are the most appropriate for them, and wait lists for permanent housing are minimized. Information gathered during the pre-screening is used to evaluate housing needs, detect potential housing barriers and identify families and individuals who can be diverted from homelessness. The diversion strategy prevents homelessness by helping people identify alternative housing options and connecting them to services and financial assistance to help them return to permanent housing. People who are literally homeless are offered a referral to a local emergency shelter. People fleeing domestic violence are offered a referral to a local domestic violence provider.

If pre-screen results indicate that a family or individual is appropriate for a housing placement, an assessment of the family or individual must be conducted within 14 days of enrollment into the 2019 Annual Action Plan (HUD approved June 2019)
Coordinated Entry program, utilizing the Vulnerability Index – Service Prioritization Decision Assistance Tool (VI-SPDAT) to prioritize for services. Based on their vulnerability score – low, medium or high – an individual/family is prioritized into categories with corresponding solutions that will connect to mainstream affordable housing, transitional housing, rapid rehousing or permanent supportive housing options.

Entering data into HMIS is a requirement for all coordinated entry access points. This ensures that agencies collaborate effectively and that the homeless population can access services efficiently and quickly. The housing prioritization list will be maintained within HMIS and programs must adhere to HMIS reporting standards set by the Kane County CoC.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The Continuum provides a notice of the ESG funding and call for applications. The notice is distributed to all CoC members and subaward recommendations are submitted to the State by the CoC’s Board. Recommendations are made based upon an applicant's ability to meet the program eligibility requirements.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

Although the City did not receive 2019 ESG funds, as part of its public participation process for its unexpended 2018 ESG funds, the City will address the requirements of 24 CFR 576.405(a) by reaching out to its local homeless service organizations to assess whether or not their board of directors membership includes at least one homeless individual or formerly homeless individual. If this HUD ESG criterion is not met by any of the City’s local homeless service organizations, the City will instead follow 24 CFR 576.405(b) by working with its homeless service organizations to develop and implement a plan to consult with homeless or formerly homeless individuals in its ESG policy and decision-making regarding projects/activities that receive ESG funding.

5. Describe performance standards for evaluating ESG.

The CoC has developed evaluation criteria that allows it to analyze the degree to which individual projects improve the CoC’s ability to respond to homelessness within the geographic region. It is the intent of the City of Aurora to adopt similar performance metrics to evaluate its ESG recipients. This brings to focus viewing the local homeless response as a coordinated system of homeless
assistance options as opposed to homeless assistance programs and funding sources that operate independently in a community. The performance measures will examine and evaluate ESG funded emergency shelter, prevention and rapid rehousing services projects using the following criteria:

The length of time individuals and families remain homeless

1. The extent to which individuals and families who exit homelessness to permanent housing destinations return to homelessness. Reduction in the number of homeless individuals and families. Success at reducing the number of individuals and families who become homeless for the first time. To ensure the data required to evaluate agency performance is available, all ESG programs (except domestic violence providers) must adhere to HMIS reporting standards set by the CoC.

The minimum amount of HOME funds that must be invested in any project is $1,000 for every assisted unit in the project. The minimum relates only to HOME funds, not to any other funds, including match that might be used for project costs. The minimum amount does not apply to tenant based rental assistance. City of Aurora HOME Policies and Procedures: October 2013.

If a project has multiple funding sources, an evaluation must be made to ensure that the HOME funds, in combination with all other funds, do not exceed what is necessary to provide affordable housing. This is generally referred to as the "subsidy layering review". PJs must conduct a subsidy layering review prior to the award of any funds. The City will evaluate the reasonableness and need for the requested assistance by analyzing pro-formas for cash flow, debt-coverage ratios, and the appropriateness of fees charges with and without the HOME funds.

Match Requirements:

The HOME program requires participating jurisdictions to have a match of at least 25%. Sources of match can be cash, donated land or real property, infrastructures improvements, bonds issued by state or local government, donated materials, equipment, or professional services, sweat equity, and the value of foregone taxes.
Attachments
Citizen Participation Comments

CITY OF AURORA
NEIGHBORHOOD REDEVELOPMENT DIVISION
CITIZEN PARTICIPATION PLAN
Adopted September 14, 2010

INTRODUCTION

As a recipient of funds from the U.S. Department of Housing and Urban Development (HUD), the City of Aurora is required to adopt a Citizen Participation Plan that provides for and encourages citizens to participate in the development and administration of the five-year Consolidated Plan, the Annual Action Plan, substantial amendments, and the Consolidated Annual Performance Evaluation Report (CAPER).

The Citizen Participation Plan is designed especially to encourage participation by low- and moderate-income persons, particularly:

- Those living in slum and blighted areas;
- Those living in areas where federal funds are proposed to be used;
- Residents of primarily low- and moderate-income neighborhoods;
- Residents of public and assisted housing developments; and
- Residents of targeted revitalization areas in which the developments are located

The City of Aurora must follow its Citizen Participation Plan. The requirements for citizen participation do not restrict the responsibility or authority of the City for the development and execution of our Consolidated Plan. The City of Aurora must provide citizens with a reasonable opportunity to comment on our Citizen Participation Plan and on substantial amendments to the Citizen Participation Plan. We must make our Citizen Participation Plan public. The Citizen Participation Plan must be in a format accessible to persons with disabilities, upon request.

We are required to take whatever actions are appropriate to encourage the participation of all Aurora citizens, including minorities and non-English speaking persons, as well as persons with disabilities.
THE CONSOLIDATED PLAN AND ANNUAL ACTION PLAN

Every five years, the City develops a long-term strategic plan called the Consolidated Plan, detailing the amount of federal assistance the City expects to receive (including grant funds and program income) and the range of projects that may be undertaken, including the estimated amount that will benefit low- and moderate-income persons.

An Annual Action Plan is prepared each year to outline projects to be undertaken within each program year. The City will hold a public hearing, accept public comment, and issue public notices for the Consolidated Plan and for each Annual Action Plan, to ensure public participation in the development of these plans.

Public Notice and Publication

The City will publish a public notice in the Beacon News ("Our Towns" section), announcing the availability of the Consolidated Plan or the Annual Action Plan and establishing a 30-day public comment period.

The public notice will also set a date for a public hearing, which will occur at least 15 days prior to the City Council meeting at which the plan is slated for adoption.

The notice will include a summary of the proposed plan and list the locations where copies of the completed proposed plan may be examined. These locations include all branches of the Aurora Public Library and the Neighborhood Redevelopment Division office. Free digital copies of the plan will be made available at the Neighborhood Redevelopment Division office. The plan will be posted on the City’s website, www.aurora-il.org.

The City will publish each plan in a manner that affords citizens, public agencies, and other interested parties a reasonable opportunity to examine its contents and submit comments.

Public Hearing

The City will hold at least one public hearing during the development of the Consolidated Plan and Annual Action Plan to gather input from citizens and respond to proposals and questions.

In a year in which the Consolidated Plan is being developed, an additional public hearing will be held before the proposed Consolidated Plan is published for comment.

The hearing(s) will address housing and community development needs, development of proposed activities, and review of program performance. All public hearings will be held at the Aurora City Hall, 44 E. Downer Place, Aurora, IL 60505.

Upon request, the City will provide for translation services to meet the needs of non-English speaking residents. The City will also take whatever actions are appropriate to serve the needs of persons with disabilities.
Public Comments

The City of Aurora will provide a period of at least 30 days to receive comments from citizens on each proposed plan. The City will consider any comments or views of citizens received in writing, or orally at public hearings, in preparing the final adopted plan. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons for not accepting the latter, shall be attached to the final adopted plan.
PLAN AMENDMENTS

In the course of administering federally-funded programs, the City may need to amend our Consolidated Plan or an annual Action Plan. When the amendment is significant and means the criteria set forth below, the change will be considered a substantial amendment and the City will undertake additional actions to ensure citizens have an opportunity to comment. Records of all amendments will be maintained by the City for public review and will be fully described in the annual performance report submitted to HUD.

Criteria for Amendments

An amendment will be undertaken in the following circumstances:

- a change in the method of distribution of funds;
- carrying out an activity using funds from any program covered by the consolidated plan (including program income) not previously described in the action plan; or
- a change in the purpose, scope, location, or beneficiaries of an activity

A substantial amendment will be undertaken in the following circumstances:

- a change in the City’s allocation priorities;
- a change in the use of federal funds from one eligible activity to another in excess of $100,000; or
- a new activity or program not previously described in the plan.

Public Notice and Publication

In the case of a proposed amendment or substantial amendment, the City will publish a public notice in the Beacon News (“Our Towns” section), describing the project and the amount to be spent and establishing a 30-day public comment period. The public comment period will be scheduled so as to end on the day of the City Council meeting at which the proposed amendment is slated for adoption.

In the case of a proposed substantial amendment, in addition to the information described in the previous paragraph, the published public notice will set a date for a public hearing, which will occur at least 15 days prior to the City Council meeting at which the proposed substantial amendment will be slated for adoption.

The public notice will list the locations where copies of the proposed amendment may be examined. These locations include all branches of the Aurora Public Library and the Neighborhood Redevelopment Division office. Free digital copies of the amendment will be made available at the Neighborhood Redevelopment Division office. The amendment will be posted on the City’s website, www.aurora-il.org.
Public Hearing

In the case of a proposed substantial amendment, the City will hold a public hearing to gather input from citizens and respond to proposals and questions.

All public hearings will be held at the Aurora City Hall, 44 E. Downer Place, Aurora, IL 60505.

Upon request, the City will provide for translation services to meet the needs of non-English speaking residents. The City will also take whatever actions are appropriate to serve the needs of persons with disabilities.

Public Comments

The City of Aurora will provide a period of at least 30 days to receive comments from citizens on each proposed substantial amendment. The City will consider any comments or views of citizens received in writing, or orally at the public hearing, in preparing the final amendment. A summary of these comments or views, and a summary of any comments or views not accepted and the reasons for not accepting the latter, shall be attached to the substantial amendment record.
PERFORMANCE REPORTS

Each year the City of Aurora must submit a Consolidated Annual Performance and Evaluation Report (CAPER) to HUD. The report is due 90 days after the close of the program year. To ensure public participation in the review of the CAPER, the City will hold a public hearing, accept public comment, and issue public notices.

Public Notice and Publication

The City will publish a public notice in the Beacon News (“Our Towns” section), announcing the availability of the CAPER and establishing a 30-day public comment period. The public comment period will be scheduled so as to end on the day of the City Council meeting at which the CAPER is slated for adoption.

The published public notice will also set a date for a public hearing, which will occur at least 15 days prior to the City Council meeting at which the CAPER is slated for adoption.

The public notice will list the locations where copies of the CAPER may be examined. These locations include all branches of the Aurora Public Library and the Neighborhood Redevelopment Division office. Free digital copies of the amendment will be made available at the Neighborhood Redevelopment Division office. The CAPER will be posted on the City’s website, www.aurora-il.org.

Public Hearing

The City will hold a public hearing to gather input from citizens, answer questions, address housing and community development needs, and review program performance.

All public hearings will be held at the Aurora City Hall, 44 E. Downer Place, Aurora, IL 60505.

Upon request, the City will provide for translation services to meet the needs of non-English speaking residents. The City will also take whatever actions are appropriate to serve the needs of persons with disabilities.

Public Comments

The City will provide a period of at least 30 days to receive comments on the CAPER before the report is submitted to HUD. The City will consider any comments or views of citizens received in writing, or orally at public hearings, if any, in preparing the CAPER. The City will attach a summary of these comments and the City’s response to the final CAPER.
ACCESS TO MEETINGS AND RECORDS

In addition to providing opportunities for citizen participation in the development of plans and review of the performance report, the City must provide citizens, public agencies, and other interested parties with reasonable and timely access to information and records relating to the Consolidated Plan and the use of federal funds. The City will provide access to records for the current program year and preceding five program years. These records include, but are not limited to, the Citizen Participation Plan, the Consolidated Plan as adopted, annual action plans, performance reports, and substantial amendments. The City will make these records available in a form accessible to persons with disabilities, upon request. Records are maintained in the offices of the Neighborhood Redevelopment Division.

The City will also provide citizens with reasonable and timely access to local meetings held in regard to the Consolidated Plan and the activities undertaken as part of the Consolidated Plan.

TECHNICAL ASSISTANCE

The City is required to provide for technical assistance to groups representative of persons of low- and moderate-income that request such assistance in developing proposals for funding assistance under any of the programs covered by the Consolidated Plan, with the level and type of assistance determined by the City. The assistance need not include the provision of funds to the groups. To request assistance, contact the Neighborhood Redevelopment Division.

COMPLAINTS

The City will maintain a file that documents all citizen complaints and the City’s response to any complaints related to the Consolidated Plan, amendments, and the performance report. The City will provide a substantive written response to every written complaint within 15 working days of receipt of the complaint. In addition, the City will consider complaints when evaluating program performance as part of the annual report to HUD. Complaints should be addressed to the Neighborhood Redevelopment Division.
DISPLACEMENT

The City must set forth plans to minimize displacement of persons and to assist any person displaced, specifying the types and levels of assistance the City will make available (or require others to make available) to persons displaced, even if the City expects no displacements to occur. Displacement occurs when an individual, family, partnership, association, corporation, or organization moves from their home, business, or farm, or moves their personal property as a direct result of a federally-funded acquisition, demolition, or rehabilitation. Generally, displacement does not include persons displaced temporarily from their dwelling for less than 12 months while it is being rehabilitated. Displaced persons and entities are eligible for relocation assistance under federal law.

As part of this plan, the City is required to describe its plans to minimize displacement of persons and to specify types and levels of assistance the City will make available to persons who are displaced. To minimize displacement, the City will not acquire or demolish occupied structures. When displacement is unavoidable, the City will offer the following types of assistance:

For Residential Displacements:

- Provide relocation advisory services to displaced tenants and owner occupants
- Provide a minimum of 90 days written notice to vacate prior to requiring possession
- Reimburse for moving expenses
- Provide payments for the added cost of renting or purchasing comparable replacement housing

For nonresidential displacements (businesses and nonprofit organizations):

- Provide relocation advisory services
- Provide a minimum 90 days written notice to vacate prior to requiring possession
- Reimburse for moving and re-establishment expenses
BLOCK GRANT WORKING COMMITTEE

The Block Grant Working Committee is an advisory body that was created to provide additional input from Aurora citizens regarding the use of federal funds.

The Block Grant Working Committee is comprised of one representative from each City ward plus three members-at-large. The members serve two-year terms with no limit on the number of terms any member can serve. The starting date of each member’s term coincides with the term of his/her respective alderman.

Appointment to the Block Grant Working Committee is by recommendation of each alderman to the Mayor. The Mayor recommends an additional at-large member. All recommendations are approved by the City Council.

The Block Grant Working Committee meets monthly with staff of the Neighborhood Redevelopment Division and attends public hearings related to the City’s Consolidated Plan, amendments, and the Comprehensive Annual Performance Evaluation Report.

OUTREACH

The Neighborhood Redevelopment Division produces a monthly newsletter which reports on issues of interest to local nonprofits, funding subrecipients and others. Copies are sent to those groups as well as City staff, elected officials, the Neighborhood Revitalization Strategy Area advisory team, the Block Grant Working Committee and interested parties.

Periodically workshops, information-gathering sessions, and public meetings are held related to the programs and activities administered by Neighborhood Redevelopment staff. The groups listed above are notified. Press releases are generated to reach local media as well.

CONTACT INFORMATION

The City of Aurora’s Neighborhood Redevelopment Division is the point of contact for all questions, comments, complaints, and requests for technical assistance. Contact information is:

City of Aurora Neighborhood Redevelopment Division
51 E. Galena Boulevard, Aurora, IL 60505
630-256-3320
PUBLIC NOTICE

In preparation for the City of Aurora’s 2019 Community Development Block Grant (CDBG/HOME/ESG) funding cycle, a MANDATORY pre-application workshop will be held on Friday, August 10, 2018, 10:00 A.M. at the Aurora City Hall, Council Chambers (2nd Floor), 44 E. Downer Place, Aurora, IL 60507. The workshop will review eligibility for CDBG activities, agency capacity requirements, and the application/funding process. The application submittal deadline is Friday, September 21, 2018 at 4:00 p.m. for funding under the CDBG program. Application forms and instructions will be available starting August 10, 2018 on the City’s website: www.aurora-il.org/1175/Neighborhood-Redevelopment under the “Documents” section (which can also be accessed by navigating to the “Neighborhood Redevelopment” page under the “Resident Resources” tab of the City of Aurora’s website), in hard-copy at the Neighborhood Redevelopment Division office at 51 E. Galena Blvd, or by emailing nrd@aurora-il.org.
Dear Neighborhood Partner –

This is just a friendly reminder, no need to RSVP.

In preparation for the City of Aurora's 2019 Community Development Block Grant (CDBG) funding cycle, you are invited to this pre-application workshop. This workshop will be held on Friday, August 10, 2018 - 10:00 a.m. to 11:30 a.m., Aurora City Hall – 44 E. Downer Place, Council Chambers, 2nd floor.

In order for an agency's 2019 funding application to be considered, this workshop is mandatory and an agency representative MUST attend. Please see attached meeting invitation for additional details regarding the workshop.

If you have any questions, please call the Neighborhood Redevelopment Division, (630) 256-3320, email hrdf@aurora.il.gov.

Thank you,
Liz

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Dear Neighborhood Partner –

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If you have any questions, please call the Neighborhood Redevelopment Division, (630) 256-3320, email nrd@aurora-il.org.

Thank you,
Liz

Elizabeth Campeau
Office Coordinator
Neighborhood Redevelopment Division
City of Aurora
51 E. Galena Blvd,
Aurora, IL 60506
P: (630) 256-3325
F: (630) 256-3329
www.aurora-il.org

To unsubscribe from this mailing list, simply reply to this message and write EXCLUDE to be removed from future notices.
### Sign-in Sheet

**2019 CDBG Pre-Application Workshop**  
Friday, August 10, 2018 - 10:00 a.m.  
Aurora City Hall - Council Chambers, 2nd Floor, 44 E. Downer Place, Aurora Illinois 60507

<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
<th>Address</th>
<th>Phone</th>
<th>Email - PLEASE PRINT LEGIBLY</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Debra Ransom</td>
<td>Prairie Village Senior Center</td>
<td>1444 S. Galena Blvd Aurora</td>
<td>630-600-7775</td>
<td><a href="mailto:info@prairievillage.org">info@prairievillage.org</a></td>
</tr>
<tr>
<td>2. Randy Roder</td>
<td>Community Career Center</td>
<td>1995 W. State Rd, Naperville</td>
<td>630-961-5665</td>
<td>communitycareercenter.org</td>
</tr>
<tr>
<td>3. Mieki Miller</td>
<td>Senior Services/Associates</td>
<td>10614 S. Lake St, Aurora</td>
<td></td>
<td><a href="mailto:email@email.com">email@email.com</a></td>
</tr>
<tr>
<td>4. Lisa Small</td>
<td>ILSeniors</td>
<td>154 S. Grove Ave, Elgin</td>
<td>847-453-1099</td>
<td><a href="mailto:employee@ilseniors.org">employee@ilseniors.org</a></td>
</tr>
<tr>
<td>5. George A. Sanitary</td>
<td>Beverly Gardens</td>
<td>1660 York St, Aurora 60506</td>
<td>708-947-4987</td>
<td><a href="mailto:gcsanitary@beverlygardens.org">gcsanitary@beverlygardens.org</a></td>
</tr>
<tr>
<td>6. Christine P.</td>
<td>Attorneys for Housing</td>
<td>349 S. Church Rd, Aurora</td>
<td>630-973-2051</td>
<td><a href="mailto:legal@attorneysforhousing.org">legal@attorneysforhousing.org</a></td>
</tr>
<tr>
<td>7. Brian Fischbacher</td>
<td>Prairie State Legal Services</td>
<td>2471 W. Roosevelt Rd, Aurora</td>
<td>630-560-8000</td>
<td><a href="mailto:brian@prairielaw.com">brian@prairielaw.com</a></td>
</tr>
<tr>
<td>8. Lynn Doty</td>
<td>Grundy County Urban League</td>
<td>1650 S. Zander Ave, Morris</td>
<td>815-254-4243</td>
<td>grundyurbanleague.org</td>
</tr>
<tr>
<td>9. John Kline</td>
<td>Fox Valley Habitat for Humanity</td>
<td>1240 S. Walnut Ave, Elgin</td>
<td>630-858-3375</td>
<td><a href="mailto:habitat@foxvalleynh.org">habitat@foxvalleynh.org</a></td>
</tr>
<tr>
<td>10. Peter Canavan</td>
<td>The Neighborhood Project</td>
<td>355 Broadway, Elgin 60123</td>
<td>630-301-8522</td>
<td><a href="mailto:neighborhood@project.org">neighborhood@project.org</a></td>
</tr>
<tr>
<td>11. Julie Spino</td>
<td>Training-Fair breeze</td>
<td>2725 S. State St, Aurora</td>
<td>630-877-4147</td>
<td><a href="mailto:training@fairbreeze.org">training@fairbreeze.org</a></td>
</tr>
<tr>
<td>12. Kathleen Hassman</td>
<td>Medical Group</td>
<td>4127 W. Lake St, Aurora</td>
<td>630-876-1207</td>
<td><a href="mailto:kahassman@medicalgroup.org">kahassman@medicalgroup.org</a></td>
</tr>
<tr>
<td>13. Julie Frankot</td>
<td>East Aurora School District</td>
<td>477 S. Fifth St, Aurora</td>
<td>630-902-7847</td>
<td><a href="mailto:jfrankot@eastaurora.org">jfrankot@eastaurora.org</a></td>
</tr>
</tbody>
</table>

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### Sign-in Sheet

**2019 CDBG Pre-Application Workshop**  
Friday, August 10, 2018 - 10:00 a.m.  
Aurora City Hall - Council Chambers, 2nd Floor, 44 E. Downer Place, Aurora Illinois 60507

<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
<th>Address</th>
<th>Phone</th>
<th>Email - PLEASE PRINT LEGIBLY</th>
</tr>
</thead>
<tbody>
<tr>
<td>14. Chris Lipos</td>
<td>CDA - LVSU</td>
<td>601 E. Downer Rd</td>
<td>773-722-6203</td>
<td><a href="mailto:chrislipos@aurora-il.org">chrislipos@aurora-il.org</a></td>
</tr>
<tr>
<td>15. Todd Krohn</td>
<td>Breaking Free</td>
<td>1709 Oak St</td>
<td>847-100-3219</td>
<td><a href="mailto:tdkrohn@breakingfree.org">tdkrohn@breakingfree.org</a></td>
</tr>
<tr>
<td>16. Tim Krohn</td>
<td>WorldSpirit</td>
<td>735 S. Lewis St</td>
<td>630-754-0000</td>
<td>的世界精神@worldspirit.org</td>
</tr>
<tr>
<td>17. Francesco Moser</td>
<td>AT Augustine College</td>
<td>2012 W. Washington St</td>
<td>312-244-5808</td>
<td>francescó<a href="mailto:moker@augustine.col">moker@augustine.col</a></td>
</tr>
<tr>
<td>18. Daniel测评</td>
<td>CDA - Finance</td>
<td>441 E. Downer Pk</td>
<td>630-301-2049</td>
<td><a href="mailto:daniel@aurora-il.org">daniel@aurora-il.org</a></td>
</tr>
<tr>
<td>19. Andrew Ross</td>
<td>CDA - Impact on Sing</td>
<td>601 E. Downer Pl</td>
<td>630-301-2049</td>
<td><a href="mailto:andrew@aurora-il.org">andrew@aurora-il.org</a></td>
</tr>
<tr>
<td>20. Linda Rood</td>
<td>CDA - Finance</td>
<td>441 E. Downer Pk</td>
<td>630-351-1129</td>
<td><a href="mailto:lrood@aurora-il.org">lrood@aurora-il.org</a></td>
</tr>
<tr>
<td>21. Dan McPeck</td>
<td>CDA - Finance</td>
<td>25 E. 7th St</td>
<td>630-251-4654</td>
<td><a href="mailto:dmcpeck@aurora-il.org">dmcpeck@aurora-il.org</a></td>
</tr>
<tr>
<td>22. Carol Spadoni</td>
<td>CDA - Finance</td>
<td>400 W. Perry Blvd</td>
<td>630-251-4654</td>
<td><a href="mailto:carolspadoni@aurora-il.org">carolspadoni@aurora-il.org</a></td>
</tr>
<tr>
<td>23. Tamara Young</td>
<td>Good Samaritan Network</td>
<td>511 S. Galena Blvd</td>
<td>630-577-8377</td>
<td><a href="mailto:tamara.young@aurora-il.org">tamara.young@aurora-il.org</a></td>
</tr>
<tr>
<td>24. Julie Shroyer</td>
<td>At Risk Ministry</td>
<td>49 S. First Ave</td>
<td>630-251-4654</td>
<td><a href="mailto:julie@aurora-il.org">julie@aurora-il.org</a></td>
</tr>
<tr>
<td>25. Amy Mann</td>
<td>CDA - NAP</td>
<td>51 S. Galena Blvd</td>
<td>630-251-4654</td>
<td><a href="mailto:amy@aurora-il.org">amy@aurora-il.org</a></td>
</tr>
<tr>
<td>26. Elizabeth Bertels</td>
<td>CDA - NAP</td>
<td>51 S. Galena Blvd</td>
<td>630-251-4654</td>
<td><a href="mailto:elizabeth@aurora-il.org">elizabeth@aurora-il.org</a></td>
</tr>
</tbody>
</table>
### Sign-in Sheet
#### 2019 CDBG Pre-Application Workshop
Friday, August 10, 2018 - 10:00 a.m.
Aurora City Hall - Council Chambers, 2nd Floor, 44 E. Downer Place, Aurora Illinois 60507

#### PLEASE PRINT LEGIBLY

<table>
<thead>
<tr>
<th>NAME</th>
<th>ORGANIZATION</th>
<th>ADDRESS</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Sharron Olsen</td>
<td>Rebuilding Together Iowa</td>
<td>31 W. Fourth Pl., Ste 402</td>
<td>(800) 861-5744</td>
<td><a href="mailto:sharron.olsen.2018@earthlink.net">sharron.olsen.2018@earthlink.net</a></td>
</tr>
<tr>
<td>Heather Simon</td>
<td>Rebuilding Together Iowa</td>
<td>31 W. Fourth Pl., Suite 402</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mark Barlow</td>
<td>Aurora Housing Authority</td>
<td>300 W. Kenyon St.</td>
<td>(630) 955-1166</td>
<td></td>
</tr>
<tr>
<td>Linda Elrod</td>
<td>Aurora Housing Authority</td>
<td>300 W. Kenyon St.</td>
<td>(630) 955-1166</td>
<td></td>
</tr>
<tr>
<td>Carol Coates</td>
<td>West Aurora Schools</td>
<td>177 W. Dempsey</td>
<td>(630) 652-2373</td>
<td><a href="mailto:ccoates@aur.org">ccoates@aur.org</a></td>
</tr>
<tr>
<td>Paul Stephens</td>
<td>Family Services</td>
<td>120 Rundell Ch.,</td>
<td>(630) 222-2600</td>
<td></td>
</tr>
<tr>
<td>Shawn Young</td>
<td>Forest Preserve</td>
<td>701 N. Online</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dan Reeder</td>
<td>Housing Oregon</td>
<td>120 N. Online</td>
<td></td>
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<tr>
<td>Mark Alaimon</td>
<td>The Community Funders</td>
<td>120 N. Online</td>
<td></td>
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</tr>
<tr>
<td>Mike Bledsoe</td>
<td>Aurora Housing Authority</td>
<td>300 W. Kenyon St.</td>
<td>(630) 955-1166</td>
<td></td>
</tr>
<tr>
<td>Ray Bledsoe</td>
<td>Aurora Housing Authority</td>
<td>300 W. Kenyon St.</td>
<td>(630) 955-1166</td>
<td></td>
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<tr>
<td>David Zastrow</td>
<td>Invest Aurora</td>
<td>120 N. Online</td>
<td></td>
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</tr>
<tr>
<td>John Husband</td>
<td>Leaders in Transformative Education</td>
<td>31 W. Downer Place</td>
<td></td>
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</tr>
</tbody>
</table>

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### 2019 Annual Action Plan (HUD approved June 2019)

OMB Control No: 2506-0117 (exp. 06/30/2018)
<table>
<thead>
<tr>
<th>Name</th>
<th>Organization</th>
<th>Address</th>
<th>Phone</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Karen Garcia</td>
<td>ATRIEC</td>
<td>561 W. Downer Pl.</td>
<td>312-442-1967</td>
<td><a href="mailto:kgc@artiec-il.com">kgc@artiec-il.com</a></td>
</tr>
<tr>
<td>Tim Arron</td>
<td></td>
<td>541 E. Dekoven</td>
<td>630 266 3320</td>
<td></td>
</tr>
<tr>
<td>Jeanne Cross</td>
<td>CDA, Planning Dept</td>
<td>49 N. Sycamore A.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Simona Colucci</td>
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</table>
PUBLIC NOTICE
EXHIBIT C

As an entitlement community, the City of Aurora, Illinois, develops and implements its Community Development Block Grant Program (CDBG) and HOME Investment Partnerships Program (HOME) in accordance with the City of Aurora’s Federal Participation Plan and the requirements of the U.S. Department of Housing and Urban Development (HUD). A public hearing is being held at 6:00 p.m. on December 17, 2018, at City Hall, 841 E. Lake Street, Aurora, Illinois, 60506. The hearing is open to the public. Under the provisions of the Community Development Block Grant Program (CDBG), the City has the discretion to increase the funding for a program in the 2019 Annual Action Plan. Any changes to the 2019 CDBG/HOME Annual Action Plan will be made available for public review and comment through the City’s website: www.aurora-il.org, or at the City Hall, 841 E. Lake Street, Aurora, Illinois. The City is required to keep public records of any meetings that discuss, consider, or act on any matter related to the Community Development Block Grant Program (CDBG), the HOME Investment Partnerships Program (HOME), or other Federal programs. The City will provide for interpretation or translation services to meet the needs of non-English-speaking residents. The City will also take whatever actions are appropriate to serve the needs of persons with disabilities. For more information, to make special arrangements, or to receive accommodations, contact the Neighborhood Redevelopment Division, 841 E. Lake Street, (630) 259-3320 or at aurora-il.org.

A new exhibit, titled "Community Development Block Grant Program," was included in the City’s 2019 Annual Action Plan. The exhibit includes the following information:

1. PUBLIC SERVICES
   - Consumer Services: Home Services and Family Services (HSE): $305,000
   - Domestic Violence Shelter Program: $50,000
   - Aurora Housing Advocacy Legal Services Project: $512,000
   - Community Corrrection Centers Services: $30,000

2. PROJECTS
   - Street Relocating Program: $255,000
   - Aurora Enterprise Loan Program: $250,000
   - 1. Administrative: $115,706
   - Grand Total: $1,691,283

3. HOME Investment Partnerships Program (HOME)
   - HOME Investment Partnerships Program Grant: $77,065
   - Grand Total: $768,563

The City is required to keep public records of any meetings that discuss, consider, or act on any matter related to the Community Development Block Grant Program (CDBG), the HOME Investment Partnerships Program (HOME), or other Federal programs. The City will provide for interpretation or translation services to meet the needs of non-English-speaking residents. The City will also take whatever actions are appropriate to serve the needs of persons with disabilities. For more information, to make special arrangements, or to receive accommodations, contact the Neighborhood Redevelopment Division, 841 E. Lake Street, (630) 259-3320 or at aurora-il.org.

2019 Annual Action Plan (HUD approved June 2019)
Good morning,

For your review the 2019 City of Aurora Annual Action Plan is now available on the City's website:
https://www.aurora-il.org/3175/Neighborhood-Redevelopment

Thank you,

Uz
<table>
<thead>
<tr>
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<th>ADDRESS</th>
<th>PHONE</th>
<th>E-mail - PLEASE PRINT LEGIBLY</th>
</tr>
</thead>
<tbody>
<tr>
<td>George D. Slawinski</td>
<td>Black Grant Ctr.</td>
<td>1060 York St. Aurora, IL 60506</td>
<td>630-254-6966</td>
<td><a href="mailto:georgiedaslawinski@gmail.com">georgiedaslawinski@gmail.com</a></td>
</tr>
<tr>
<td>Tony Bennett</td>
<td>City of Aurora</td>
<td>51 E. Downer Place</td>
<td>630-256-3218</td>
<td><a href="mailto:mbennett@aurora-il.gov">mbennett@aurora-il.gov</a></td>
</tr>
<tr>
<td>Amy J. Alonso</td>
<td>City of Aurora</td>
<td>51 E. Downer Place</td>
<td>630-256-3218</td>
<td><a href="mailto:amalozone@aurora-il.gov">amalozone@aurora-il.gov</a></td>
</tr>
<tr>
<td>Chris R. Jones</td>
<td>City of Aurora</td>
<td>51 E. Downer Place</td>
<td>630-256-3255</td>
<td><a href="mailto:crjones@aurora-il.gov">crjones@aurora-il.gov</a></td>
</tr>
<tr>
<td>David H. Smith</td>
<td>Aurora Police</td>
<td>51 E. Downer Place</td>
<td>630-256-3255</td>
<td><a href="mailto:david.h.smith@aurora-il.gov">david.h.smith@aurora-il.gov</a></td>
</tr>
<tr>
<td>Vicki R. Walton</td>
<td>COA</td>
<td>51 E. Downer Place</td>
<td>630-256-3255</td>
<td><a href="mailto:vicki.r.walton@aurora-il.gov">vicki.r.walton@aurora-il.gov</a></td>
</tr>
</tbody>
</table>
Minutes of the Public Hearings
Block Grant Working Committee
Annual Action Plan Substantial Amendment (2018-#4) and 2019 Annual Action Plan
Monday, December 17, 2018 – 5:00 pm
Aurora City Hall, Council Chambers (2nd Floor) – 44 E. Downer Place, Aurora Illinois

MEMBERS PRESENT: Gerald Lubshina (Chairman), Veva Walton, and George Scowins

CITY STAFF PRESENT: Chris Ragone, Amy Munro, and Tara Neff

Chairman Lubshina opened the Public Hearing at 5:06 p.m.

Chairman Lubshina introduced himself and members present. He advised that public hearings will be held for the following two items:

1. 2018-#4 Substantial Amendment

2. 2019 Annual Action Plan

Chairman Lubshina said the City’s Neighborhood Redevelopment Division staff will provide a brief overview of the City’s Citizen Participation Process for these two items before we open the public hearings.

Ms. Munro stated that effective December 2, 2018, the proposed Substantial Amendments to the City of Aurora’s 2014, 2015, 2017, and 2018 Annual Action Plans as well as the City’s 2019 Annual Action Plan were made available for review and public comment at the following three libraries in the City: Library-Main, 101 S. River St., Library-Eola, 555 S. Eola Rd., Library-West, 233 S. Constitution Dr., and also at the City of Aurora’s Neighborhood Redevelopment, 51 E. Galena Blvd., Aurora, IL, and on the City’s website: www.aurora-il.org.

Upon advance request, the City will provide for translation services to meet the needs of non-English speaking residents. The City will also take whatever actions are appropriate to serve the needs of persons with disabilities. For more information, to make special arrangements, or to provide comments, contact Neighborhood Redevelopment Division, 51 E. Galena Blvd, (630) 256-3320 or dir@aurora-il.org. Comments are being accepted no later than January 22, 2019, which is the date the public comment period ends. The Substantial Amendment 2018-#4 and 2019 Annual Action Plans are scheduled for review and adoption at the City Council’s January 22, 2019 meeting at 6:00 p.m., Council Chambers, 2nd Floor, City Hall, 44 E. Downer Place, Aurora, IL 60507.

In addition to tonight’s public hearings, we would also ask you to note the following milestone dates for the City’s Citizen Participation Process for the Substantial Amendment 2018-#4 and 2019 Annual Action Plan:

- The City’s Planning & Development Committee will review the items on January 10, 2019.
- The Committee of the Whole will review the items on January 15, 2019.
- It is expected that the City Council will make a final determination on January 22, 2019.

Following that, the City will submit Substantial Amendment 2018-#4 to HUD for approval. With regard to the 2019 Annual Action Plan, the City will wait to hear from...
HUD on its 2019 HUD CDBG, ESG, and HOME funding allocations and then submit its 2019 Annual Action Plan for HUD’s review and approval.

Chairman Lubshina stated that the purpose of tonight’s two Public Hearings is to obtain public input for the City Council in their deliberations and to HUD in the Annual Action Plans. The purpose of the Public Hearings is not to discuss or respond to specific questions regarding the reasoning by which certain applications were/were not recommended for funding. Input will be provided to the City Council in their considerations of the funding recommendations and Annual Action Plan Substantial Amendment 2018-#4 and 2019 Annual Action Plan. All public comments will be submitted in the Annual Action Plans submitted to HUD.

Chairman Lubshina opened the public hearing for Substantial Amendment 2018-#4; and stated that City staff would provide an overview.

Ms. Munro read the public notice for the Annual Action Plan Substantial Amendment 2018-#4 as posted in the Beacon newspaper on December 2, 2018 (attached).

Chairman Lubshina asked if anyone would like to provide public testimony.

No one from the public was in attendance.

Chairman Lubshina closed the public hearing for Substantial Amendment 2018-#4.

Chairman Lubshina opened the public hearing for 2019 Annual Action Plan and stated that City staff would provide an overview.

Ms. Munro read the public notice for the 2019 Annual Action Plan as posted in the Beacon newspaper on December 2, 2018 (attached).

Chairman Lubshina asked if anyone would like to provide public testimony.

No one from the public was in attendance.

Chairman Lubshina read a testimony submitted by Ryan Dowd, Executive Director of Hesed House (attached).

Mr. Lubshina,

*Thank you for fully funding our two 2019 CDBG project requests. We very much appreciate our HVAC project being awarded some of the 2018 unexpended funds which will allow us to expedite this very important need. The City’s continued support is crucial to our ability to operate the second largest homeless shelter in Illinois and we are eternally grateful for the strong relationship.*

*Sincerely,*

*Ryan Dowd*

Chairman Lubshina closed the public hearing for 2019 Annual Action Plan at 5:21 p.m.
Mr. Lubsha,
Thank you for fully funding our two 2019 COGS project requests. We very much appreciate our HVAC project being awarded some of the 2018 unexpended funds which will allow us to expedite this very important need.

The City's continued support is crucial to our ability to operate the second largest homeless shelter in Illinois and we are eternally grateful for the strong relationship.

Sincerely,
Ryan Dowd
A Resolution Approving the 2019 Annual Action Plan Funding Recommendations - Community Development Block Grant (CDBG), HOME Investment Partnership Act Programs (HOME), and Emergency Solutions Grant (ESG).

WHEREAS, the City of Aurora has a population of more than 25,000 persons and is, therefore, a home rule unit under subsection (a) of Section 6 of Article VII of the Illinois Constitution of 1970; and

WHEREAS, subject to said Section, a home rule unit may exercise any power and perform any function pertaining to its government and affairs for the protection of the public health, safety, morals, and welfare; and

WHEREAS, the City of Aurora has made preservation of older neighborhoods, production of decent housing, and the support of human service programs, a priority;

WHEREAS, the City of Aurora has initiated numerous community development activities through participation in the U.S. Department of Housing and Urban Development's CDBG, HOME, and ESG programs, and an Annual Action Plan is required as a prerequisite to any such funding; and

WHEREAS, the City of Aurora's Block Grant Working Committee recommended adoption of the 2019 Annual Action Plan, including funding recommendations attached hereto as Exhibit "A" at its November 28, 2018 meeting; and

WHEREAS, said 2019 Annual Action Plan includes allocations for funding using an estimated entitlement amount of $1,641,883 in CDBG funds, $750,553 in HOME funds, and $136,287 in ESG funds; and

WHEREAS, the City's budget will make funds available in the 2019 City CDBG program account (221-1330-801-43-series), HOME program account (213-1330-801-43-series), and ESG program account (214-1330-801-43-series); and

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Aurora, Illinois, finds as facts all of the preamble recitals of this Resolution and hereby that the
RESOLUTION NO. R19-019
DATE OF PASSAGE: January 22, 2019

Block Grant Working Committee's funding allocations are hereby adopted for the 2019 CDBG, HOME, and ESG program year, that authorization is given for submission of the 2019 Annual Action Plan to the U.S. Department of Housing and Urban Development (HUD), that the Mayor is hereby authorized to execute any contracts or other overall program documents with HUD that authorizes the use of these federal funds, and that the Mayor, Chief Community Services Officer, Community Development Manager or their designees be authorized to execute CDBG sub-recipient agreements and any other documents associated with the 2019 Annual Action Plan, including but not limited to HUD's CDBG, HOME, and ESG program administration requirements.
RESOLUTION NO. 819-019
DATE OF PASSAGE: January 22, 2019
PASSED AND APPROVED ON: January 22, 2019
AYES: 11  NAYS: 0  NOT VOTING: 0  ABSENT: 1

Alderman Botman, Ward 1
Alderman Mosqueo, Ward 3
Alderman Franco, Ward 5
Alderman Hart-Burns, Ward 7
Alderman Bugg, Ward 9
Alderman Jenkins, At Large

ATTEST:

Wendy McCambidge
City Clerk

Richard C.
Mayor

Alderman Garza, Ward 2
Alderman Donnell, Ward 4
Michael E. Smalley
Alderman Smalley, Ward 8
Alderman Mervine, Ward 10
Alderman Lofochie, Ward 10
Alderman O’Connor, At Large
RECOMMENDATION

TO: THE COMMITTEE OF THE WHOLE
FROM: THE PLANNING & DEVELOPMENT COMMITTEE

The Planning & Development Committee at their Meeting on Thursday, January 10, 2019,
Recommended APPROVAL of a Resolution Approving the 2019 Annual Action Plan Funding.
Recommendations - Community Development Block Grant (CDBG), HOME Investment Partnership
Act Programs (HOME), and Emergency Solutions Grant (ESG).

VOTE 3-0

Submitted By
Alderman Michael Saville, Chairman
Alderman Carl Franco
Alderman Sherman Jenkins
Alderman William “Bill” Donnell, Alternate

Dated This 10th Day of January, 2019
# 2019 Annual Action Plan - Funding Source Summary

## Community Development Block Grant

<table>
<thead>
<tr>
<th>Organization</th>
<th>Program Description</th>
<th>2019 Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Breaking Free, Inc.</td>
<td>Homeless Substance Abuse Treatment Services</td>
<td>$40,000</td>
</tr>
<tr>
<td>City of Aurora Innovation &amp; Core Services Dept.</td>
<td>Aurora Youth Career Pathways Initiative</td>
<td>$50,000</td>
</tr>
<tr>
<td>Mutual Ground</td>
<td>Domestic Violence Shelter Program</td>
<td>$50,000</td>
</tr>
<tr>
<td>Prairie State Legal</td>
<td>Aurora Housing Advocacy Legal Services Project</td>
<td>$12,500</td>
</tr>
<tr>
<td>Public Action Deliver-Shelter, Inc. DBA Hesed House</td>
<td>PADS SEEDS Case Management Program</td>
<td>$75,000</td>
</tr>
<tr>
<td>Senior Services Associates Inc.</td>
<td>Community Connection Center Services</td>
<td>$70,000</td>
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</tbody>
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**PUBLIC SERVICES TOTAL (Cannot exceed 15% of CDBG Allocation)**

<table>
<thead>
<tr>
<th>Projects</th>
<th>2019 Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>City of Aurora Engineering Division</td>
<td>Street Resurfacing Program</td>
</tr>
<tr>
<td>Seize the Future DBA Invest Aurora</td>
<td>Micro-Enterprise Loan Program</td>
</tr>
<tr>
<td>Rebuilding Together Aurora, Inc.</td>
<td>Safe at Home</td>
</tr>
<tr>
<td>The Joseph Corporation DBA The Neighbor Project</td>
<td>Safety First</td>
</tr>
<tr>
<td>Neighborhood Revitalization</td>
<td>To be determined</td>
</tr>
</tbody>
</table>

**PROJECTS TOTAL**

$1,105,766

## HOME Investment Partnerships Program Grant

<table>
<thead>
<tr>
<th>Projects</th>
<th>2019 Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Direct Housing Project Allocations</td>
<td>To be determined</td>
</tr>
<tr>
<td>Community Housing Development Organization Set-Aside (Must be at least 15% of HOME allocation)</td>
<td></td>
</tr>
</tbody>
</table>

**PROJECTS TOTAL**

$675,498

## II. ADMINISTRATION (Cannot exceed 10% of HOME allocation)

**GRAND TOTAL**

$750,553

## Emergency Solutions Grant

<table>
<thead>
<tr>
<th>Projects</th>
<th>2019 Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Street Outreach</td>
<td>To be determined</td>
</tr>
<tr>
<td>Emergency Shelter</td>
<td></td>
</tr>
<tr>
<td>Homelessness Prevention</td>
<td></td>
</tr>
<tr>
<td>Rapid Re-Housing</td>
<td></td>
</tr>
<tr>
<td>Homeless Management Information System (HMIS) Data Collection</td>
<td></td>
</tr>
</tbody>
</table>

**PROJECTS TOTAL**

$126,065

## II. ADMINISTRATION (Cannot exceed 7.5% of ESG allocation)

**GRAND TOTAL**

$136,287

As per 24 CFR 570.200(h), 24 CFR Part 92.212, and 2 CFR 200.458, under certain conditions, the City and/or its sub-recipients may incur costs associated with the CDBG, HOME, and ESG activities identified above and described in the City’s 2019 Annual Action Plan prior to the effective date of its grant agreement with HUD. The total amount of any pre-award costs incurred will not exceed $300,000 or 25% of the amount of the City’s anticipated 2019 CDBG allocation. Costs related to the HOME program may not exceed 25% of the City’s current program amount. Costs related to the ESG program will comply with the regulatory requirements as outlined in 24 CFR 576. Citizens have been advised that such pre-award costs will have no effect on future grants, and will be in compliance with the regulations that govern the CDBG, HOME, and ESG Programs as stated at 24 CFR Part 570, 24 CFR 92, and 24 CFR Part 576, respectively, as well as the Environmental Review Procedures stated in 24 CFR Part 58. To provide the required public disclosure of the City’s intent to use unobligated carry forward funds as necessary for such 2019 pre-award costs, the regulatory provisions for incurring pre-award costs will be discussed at the public hearing. This may include using unobligated front funding for CDBG public service, program administration, neighborhood revitalization/infrastructure, and housing activity costs as well as HOME and ESG administration and project costs.
DRAFT – Pending Approval

Minutes
Block Grant Working Committee
Wednesday, November 28, 2018 - 4:00 pm
Neighborhood Redevelopment Division
Aurora City Hall, 5th Floor, Room 5B - 44 E. Downer Place, Aurora, Illinois

The meeting was called to order at 4:05 p.m. by Chairman Lubshina

I. Roll Call
Members Present: Gerald Lubshina (Chair), Veva Walton, Maria Hernandez, Helen Ratzlow, Earle Robertson, George Scowins
Members Absent: Warren Barton, Debra Meeks, Aziz Alam
Staff present: Chris Ragona, Amy Munro, Tara Neff, Liz Carrizales

A. Introduction of Chris Ragona, NRD Manager
Ms. Munro introduced Chris Ragona as the new Neighborhood Redevelopment Division Manager. Chris started with the City of Aurora in late September 2018. He came to the City from DuPage County. He is currently working on the City’s HOMF Program, Section 108 and CDBG.

Mr. Ragona thanked Ms. Munro for the introduction. He said he is happy to work with the NRD staff and is looking forward to working with the Block Grant Working Committee.

Mr. Lubshina introduced himself as the Committee Chair. He asked the Committee Members to introduce themselves.

II. Minutes of meetings
A. September 10, 2018 – Regular Meeting
B. September 10, 2018 – Public Hearing (AAP Substantial Amendment 2018-#3)

A draft of the minutes was considered. A motion to approve the minutes was made by Ms. Walton and seconded by Ms. Ratzlow. The minutes were approved as prepared.

III. Old Business
Ms. Munro reported back to the Committee on a question that came up at their September 10, 2018 meeting regarding how NRSA areas are defined by demographics. She read the HUD Guidance on how they determine the boundaries for an NRSA Area.

- The selected area must be primarily residential
- The area must contain a high percentage of LMI households

The percentage of LMI residents within the neighborhood must be equal to:
- 70 percent of the total population in the selected area (if the grantee’s upper quartile is greater than 70 percent LMI);
- The upper quartile percentage (if the grantee’s upper quartile is greater than 51 percent but less than 70 percent LMI in the total population); or
- 51 percent of the total population (if the grantee’s upper quartile percentage is less than 51 percent).
Ms. Munro provided the Committee with a copy of the HUD Guidance. She said as we move through the process for updating the NRSA, the goal is to work with the consultant who will be able to guide us with the NRSA boundaries. Discussion took place.

Mr. Lubshina asked for an update on the Aurora Arts Centre Project. Ms. Munro stated that we are nearing the anticipated December 2018 completion date for the residential piece of the project. Mr. Lubshina asked if the apartments are available for rent. Ms. Munro will get an update and report back to the Committee. She said that for the Courter Courts piece of the project which contains the HOME units, the residents have been moved back to those units. As far as the commercial piece of the project, there were some setbacks due to some issues with the soil, the completion date is set for March 2019. She said a phone conference is scheduled tomorrow, November 29th with the staff from the Section 108 office to discuss loan payments. NRD staff will also be meeting with the Aurora Arts Centre staff who will be responsible with the job reporting. NRD staff will have more updated information at their next BGVIC meeting. Discussion took place.

IV. New Business

A. 2019 Annual Action Plan – Recommendations for CDBG/HOME/ESG Funding
B. 2018 Annual Action Plan (2018-4) – Recommendations Substantial Amendment for CDBG Funding

Mr. Ragona asked to combine items A and B for discussions.

Ms. Munro provided a copy of an updated list of the NRD’s recommendations for the City’s 2019 Annual Action Plan. She said that the previous list that had been provided to the committee did not include two projects to be cancelled. She said that Mr. Ragona will provide an overview of the proposed funding recommendations.

Mr. Ragona said that for the 2018 and 2019 Emergency Solutions Grant dollars, we are very close to signing a contract with a consultant to help with designing our plan. Once we identify the activities, we will come back to the Committee with a substantial amendment to seek their recommendations.

He briefly explained that for our 2018 and 2019 HOME dollars, we have a meeting scheduled with one of our identified Community Housing Development Organization (CHDO) to try and find eligible projects. He said if we don’t spend those dollars we unfortunately lose the money. Those dollars have a 2 year commitment timeframe. Once we identify the activities, we will bring them back to the Committee with a substantial amendment to seek their recommendations.

Mr. Ragona gave a brief overview of the NRD’s recommendations for the City’s 2019 Annual Action Plan. He said that for the most part most applicants will receive funding requested. There were a few agencies that we did not recommend funding. He said the Joseph Corporation, DBA The Neighbor Project, who applied for a number of different activities did not receive funding. NRD staff will be working with them in the next several months to try to identify which activity could be funded with CDBG funds or HOME Investment Partnership funds. Mr. Ragona gave an overview of the recommendations of the Substantial Amendment (2018-4). He said we are recommending additional funding
Mr. Ragone, Ms. Munro, and the Block Grant Working Committee reviewed recommendations. Mr. Lubshina asked the NRD staff for an overview of the projects that have not been recommended for 2019 CDBG funds. The NRD’s recommendations were centered upon concerns related to the respective agency’s capacity, eligibility, past performance, site control, and securing of outside resources. Discussion took place.

A motion to recommend to the City Council the 2019 Annual Action Plan (AAP) recommendations for CDBG, HOME and ESG funding and recommendations for Substantial Amendments 2018-#4 was by Ms. Walton and seconded by Ms. Hernandez. (2019 AAP and 2019-#4 Recommendations are below.) The motion was approved unanimously.

<table>
<thead>
<tr>
<th>Agency Name</th>
<th>Project Name</th>
<th>2019 CDBG</th>
<th>Substantial Amendment 2018-#4</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Rebuilding Together Aurora</td>
<td>Safe At Home</td>
<td>$135,532</td>
<td>$0</td>
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<tr>
<td>2 The Neighbor Project</td>
<td>Safety First Program</td>
<td>$115,000</td>
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</tr>
<tr>
<td>3 Fox Valley Habitat for</td>
<td>514 Claim St. Rehab</td>
<td>$0</td>
<td>$50,000</td>
</tr>
<tr>
<td>4 The Neighbor Project</td>
<td>Individual Development Account (IDA) Matched Savings Program</td>
<td>$0</td>
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<tr>
<td>5 The Neighbor Project</td>
<td>New Infill Construction to Replace Formerly Blighted Properties</td>
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<td>$0</td>
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<tr>
<td>6 Simply Destinee</td>
<td>Simply Destinee Youth Program</td>
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<tr>
<td>7 Senior Services Associates</td>
<td>Community Connection Center</td>
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<tr>
<td>8 Breaking Free</td>
<td>Homeless Substance Abuse Treatment Services</td>
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<td>9 Mutual Ground</td>
<td>Domestic Violence Shelter Program</td>
<td>$50,000</td>
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</tr>
<tr>
<td>10 PADS, Inc. DBA Hesed House</td>
<td>SEEDS Case Management</td>
<td>$25,000</td>
<td>$0</td>
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<tr>
<td>11 Prairie State Legal</td>
<td>Aurora Housing Advocacy</td>
<td>$12,500</td>
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<tr>
<td>12 City of Aurora - Innovation Core Services Dept.</td>
<td>Aurora Youth Career Pathways Initiative</td>
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<tr>
<td>13 Quad County Urban League</td>
<td>Employment Assistance and Referral Program</td>
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<td>$0</td>
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BGWC 11-28-18 Minutes – DRAFT – Pending Approval
<table>
<thead>
<tr>
<th>Project</th>
<th>Description</th>
<th>Recommendation</th>
<th>2019 Recommendation</th>
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<tbody>
<tr>
<td>14</td>
<td>PADSS, Inc. DBA Hesed House</td>
<td>HVAC Unit Installation</td>
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<tr>
<td>15</td>
<td>Seize the Future DBA Invest Aurora</td>
<td>Micro-Enterprise Loan Program</td>
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<td>16</td>
<td>City of Aurora Engineering Division</td>
<td>Street Resurfacing Program</td>
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<td>17</td>
<td>The Neighbor Project</td>
<td>Aurora 2020 Housing &amp; Preservation Initiative</td>
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<tr>
<td>18</td>
<td>City of Aurora</td>
<td>CDBG Program Administration</td>
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**NEW PROJECTS**

<table>
<thead>
<tr>
<th>Project</th>
<th>Description</th>
<th>Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1A</td>
<td>2019 Neighborhood Revitalization TBD*</td>
<td>TBD</td>
</tr>
<tr>
<td>2A</td>
<td>City of Aurora Neighborhood Redevelopment Division*</td>
<td>Choose Aurora</td>
</tr>
<tr>
<td>3A</td>
<td>City of Aurora Public Works*</td>
<td>Lead Hazard Reduction</td>
</tr>
</tbody>
</table>

**Overall Total**

- **1,641,583**
- **$351,571**

**HOME Investment Partnerships Program Grant**

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>I. PROJECTS</td>
<td>Direct Housing Project Allocations</td>
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<tr>
<td>Community Housing Development Organization Set Aside</td>
<td>To be determined*</td>
<td>$112,583</td>
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<tr>
<td>PROJECTS TOTAL</td>
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<td>$675,536</td>
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<tr>
<td>II. ADMINISTRATION</td>
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<td>$75,055</td>
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<tr>
<td>GRAND TOTAL</td>
<td></td>
<td>$750,591</td>
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</table>

**Emergency Solutions Grant**

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Street Outreach</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Emergency Shelter</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Homelessness Prevention</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rapid Re-Housing</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Homeless Management Information System (HMIS) Data Collection</td>
<td>To be determined*</td>
<td>$126,065</td>
</tr>
<tr>
<td>PROJECTS TOTAL</td>
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<td>$126,065</td>
</tr>
<tr>
<td>II. ADMINISTRATION</td>
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<td>$12,222</td>
</tr>
<tr>
<td>GRAND TOTAL</td>
<td></td>
<td>$138,287</td>
</tr>
</tbody>
</table>

**C. Choose Aurora Homebuyer Down Payment Assistance Program Policies and Procedures – Recommendation for Approval**

Mr. Ragosa briefly explained the background of the Choose Aurora Homebuyer Down Payment Assistance Program. It provides first time homebuyers with Community Development Block Grant (CDBG) funds in the amount of up to $4,999 in the form of a forgivable lien for eligible applicants. He said the goal of adding these policies and procedures is for HUD mandatory purposes. This type of policy will also focus on BGWC 11-28-18 Minutes – DRAFT – Pending Approval
determining which homebuyers have the greatest need for down payment assistance. The amount of assistance will be based on ratios, a subsidy will not be given that allows a homebuyer to have a total housing expense to income ratio less than 25% but no more than 35%. Discussion took place.

A motion to recommend for approval for Choose Aurora Homebuyer Down Payment Assistance Program Policies and Procedures was made by Mr. Robertson and seconded by Mr. Scowins. The motion was approved unanimously.

V. Other Matters
Mr. Lubshina would like to recommend to the Mayor’s Office a Public Honoring of past Block Grant Working Committee Member, Marlin Mittag-Ward 4 for his service of about 37 years. Marlin resigned October 17, 2018 due to schedule conflicts.

Ms. Munro congratulated Tara Neff on running a very successful Homebuyer Expo this year. The Expo was well received with new educational workshops and raffle prizes, approximately 150 attended the event. The Committee also congratulated Ms. Neff.

VI. Upcoming Meetings and Events
A. Wednesday, December 5, 2018, 4:00 a.m., Block Grant Working Committee Meeting, Aurora City Hall, Room 5B
   Staff will cancel December 5th meeting.
B. Wednesday, December 17, 2018, 5:00 p.m., Public Hearing, 2019 Annual Action, Aurora City Hall, Council Chambers, 2nd Floor

VII. Adjournment
A motion to adjourn the meeting at 4:58 p.m. was made by Ms. Walton and seconded by Mr. Scowins. The motion was approved unanimously.
Rapid Re-Housing, Homeless Prevention, and Emergency Solutions Grant Program Plan
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Kane County Homelessness Prevention Program:

Homelessness Prevention Emergency Solutions Grant Program Plan

This document provides a summary of the U.S. Department of Housing and Urban Development (HUD) Emergency Solution Grant (ESG) rules and agreed upon procedural guidelines for program implementation in Kane County, Illinois. The ESG Program was established through S.896 HEARTH Act of 2009. The intent of this document is to provide a framework through which Emergency Solutions Grant (ESG) funds will be used in Kane County. This document, in no manner, should be seen as an alternative to or replacement of HUD rules and guidance which can be found at www.nudire.info. This website is updated regularly by HUD and it is the responsibility of each Sub-Grantee to keep apprised of any and all new and revised guidance. Community Planning and Development (CPD) list-servs help stakeholders stay informed about programs, competitions, awards, policy updates and more. Sign up for the CPD list-serv and select the topics for which you would like to receive more information.

Homelessness Assistance through the ESG Program

Assistance to households under the ESG Program is intended to have a meaningful impact on homelessness and housing stability for participating households. ESG provides a variety of supports (See Eligible Supports for Emergency Solutions Grant) to achieve the following three assistive elements: preventing people from becoming homeless; diverting people into housing if they are currently applying for shelter; and helping people who become homeless to quickly return to permanent housing.

ESG consists of a Homelessness Prevention (HP) component for those who meet homeless criteria categories 2 (Imminent Risk of Homelessness), 3 (Homeless under other Federal Statutes), 4 (Fleeing/attempting to flee domestic violence), or those who meet HUD’s at risk of homeless criteria and a Rapid Re-housing (RRH) component for those who meet homeless criteria categories 1 (Literally Homeless) and 4 (Fleeing/attempting to flee domestic violence).

Eligible households under HP will be those with extremely low incomes, (below 30% of the Area Median Income (AMI)) who lack resources and support networks for housing and who are homeless or at risk of homelessness. Eligible households under RR include those who are literally homeless (see Homeless Definition for categories of homelessness). Participating households must agree to allow household demographic and service information to be shared between the participating agencies of the Kane County Continuum of Care (CoC). Agencies will enter client level information into the Kane County’s Homeless Management Information System (HMIS) (See HMIS Standards). Participating households must complete a case management assessment to determine eligibility and to develop goals toward housing stability. Agreeing to and participating toward achieving these goals are requirements of both single time and continued assistance. Goals may be outlined in a Housing Stability Plan. The Kane County CoC will target households that have a demonstrated housing crisis, and that are likely to remain stably housed after this assistance. Financial eligibility must be re-assessed every 90 days (or less) for all HP participating households and annually (or less) for RRH participating households (See Eligibility Criteria). Households receiving any type of rent assistance under this program must reside or plan to reside in an eligible
unit (See Eligible Units). ESG is not a mortgage assistance program and it is not intended to serve persons who need long-term and or intensive supports. ESG assistance is intended to stabilize housing. Maximum assistance under this program is 24 months in any 3-year period.

Consistent Procedures and Practices
ESG providers agree to actively participate in the Kane County Continuum and to follow this plan and all HUD rules, to make certain that households meet the eligibility criteria of the program, to eliminate duplication, and to ensure seamless homelessness prevention coverage in the county. All ESG providers must enter client and service level data into the HMIS system in accordance with HUD rules, operating standards set by the Kane Continuum of Care (CoC) and confidentiality laws. (See Confidentiality & HMIS Standards)

All eligible supports are outlined in the ESG Notice 24 CFR Parts 91 and 976 (dated December 5, 2011, effective January 4, 2012). These supports are intentionally focused on housing: financial assistance to help pay for housing services designed to keep people in housing, or services to locate housing. Kane ESG provider agencies are required to follow the ESG Notice, HUD’s guidance, and the policies and procedure’s within this program plan. An agency may not develop more strict guidelines for screening eligibility and service provision without approval of the Kane County CoC.

Outreach & Coordination of Services
Other available resources will be used before ESG funds are used in homeless prevention. In addition, ESG provider agencies will collaborate with other agencies to ensure that all households at risk will have full access to the ESG program, including people in area shelters, transitional housing programs, townships and other human service entities.

Agency providers will comply with all Fair Housing and Civil Rights requirements.

The Kane County CoC has developed a Coordinated Entry System (CES) with policies and procedures as of December 22, 2017. Per HUD guidelines, all ESG-funded provider agencies are required to use the CES to ensure that housing assistance is prioritized based on vulnerability and severity of assistance needs.

Eligible Supports for Emergency Solutions Grant

Procedural Guidance
- ESG will only provide assistance to households residing in, homeless within or moving to Kane County. However, if necessary and reasonable, Kane County residents may be eligible for assistance to relocate across county or state lines, if necessary, to stabilize the household. If a provider decides to help a participant relocate, the provider retains the responsibility for ensuring all program requirements are met.
- ESG assistance cannot be provided to eligible individuals or families for the same period of time and for the same cost types that are being provided through another federal, state, or local housing program. There are two cost types: Tenant Based Rental Assistance (TBRA) (includes arrears, short and medium term rent) and Housing Relocation and Stabilization Services (Includes: Moving costs, rental application fees, security deposit, last month’s...
rent, utility deposit and utility payment). Eligible households may be served using these two cost types in the categories of Homelessness Prevention (HP) assistance or Rapid Re-Housing (RRH) assistance. If a participant is receiving rental assistance under another program, ESG funds may not be used for rental assistance during that same time period. However, it could be used to pay for another cost type such as security deposit or utility payments.

- For example, a homeless veteran entering a HUD-VASH project that will assist with monthly rent may receive security deposit assistance through ESG funds. If a participant is receiving utility assistance under another program, ESG funds may not be used for utility assistance during that same time period. For example, a household receiving low income home energy assistance program (LIHEAP) may not receive monthly utility assistance.
- When providing rental assistance and security deposit, the lease must be in the client's name and signed by the tenant and the landlord. The assistance (payment) is made to the property owner/property complex only, and is not to exceed the amount owed to the owner for rent and/or security deposit.
- Rent may not exceed Fair Market Rents (FMR) for that unit size AND must meet rent reasonableness standards for that specific unit (see Fair Market Rent Limits and the Rent Reasonableness Standard).
- Total rent assistance is not to exceed 24 months in any 3-year period.
- Other ESG assistance must also be reasonable. ESG documentation should demonstrate that the ESG provider completed due diligence to ensure reasonable costs.
- Financial assistance under ESG HPRR is provided to the landlord or other end user. No payments are made directly to participating households.
- ESG providers must track ESG services separately from other funds. Likewise, services provided under the components of Homelessness Prevention and Rapid Re-Housing must be tracked independently of each other. ESG funds provided via the State of Illinois must be tracked separately from ESG funds received by the County. Finally, funds must be tracked separately by grant Fiscal Year.

**Tenant Based Rental Assistance**

HUD advises that ESG assistance should be “need-based”, meaning that providing agencies should determine the amount of assistance based on the amount necessary to prevent the program participant from becoming homeless or returning to homelessness in the near future. In no case is the ESG assistance provided to exceed 24 months in any 3-year period.

The goals for housing stabilization set by the case managers with the adult household members will include an assessment of income, expenses and the basic necessities required to maintain housing and stability. A budget will be developed and agreed upon prior to the approval of rental assistance. If ongoing rent assistance is projected, the budget will be monitored by the case manager. Rent assistance may be planned and approved in advance, but approvals are not to exceed the 90 day eligibility period for households receiving HP or one-year for households receiving RRH assistance. Rent payments to the landlord will not be paid significantly in advance.
To ensure a consistent application of standards in determining rent amounts for ESG participating households the ESG provider will be responsible for determining and communicating the amount of rent to be provided, as well as empowering the participating household to assume graduating levels of financial responsibility. All ESG Providers will determine assistance based on identified variables, including income, rent, other financial issues, etc. Client rental payment for tenant based rent assistance will be determined based on the amount of assistance required to meet their monthly expenses (i.e. total monthly income (-) minus total monthly expenses monthly = rent assistance amount). A lesser amount of rent may be required of the client in accordance with the program plan (such as establishing savings for housing stability or planned debt payments - i.e., client has a garnishment, pay day loan, huge child support arrearage or is working with legal or credit repair for debt reduction, etc.). With the exception of rental arrears, no court or legal fees may be paid with ESG funds. See Late Payment of Legal Fees.

ESG providers will obtain new income verification for the household as planned on a quarterly or annual basis. All households must meet income and risk criteria for continued assistance. The family’s rent portion will be recalculated accordingly and will be reflected in the assistance. Agencies have the option of reviewing income and rent assistance prior to the planned reevaluation date when such a reevaluation would impact assistance needed for housing stability.

Agencies will provide assistance with the expectation that households will be able to sustain housing independently in the foreseeable future. Tenant Based Rental Assistance (TBRA) is available to both HP and RRH households. It consists of the following cost types:

**Short-term Assistance:**
Short-term assistance is provided for up to a maximum of 3 months. A housing stability plan will be developed with the household with the expectation that the household will be stable after assistance. A household that initially qualifies for short-term (1-3 months) assistance can transition into medium term assistance at the discretion of the case manager.

**Medium-term Assistance**
Medium-term assistance can be provided from 4 months up to a maximum of 24 months within a 36 month period.

**Rent Arrears**
Rent arrearage assistance is not to exceed a one-time payment of up to 6 months. Rent arrearage is counted toward the 24 month maximum. When providing rental arrearage assistance, the ESG agency must ensure and document that the payment will enable the eligible household to remain in the housing unit for which the arrears are being paid or enable the household to move to another unit. ESG funds cannot be used to pay for rental arrearage assistance in which there was no written lease in place at the time unless an oral lease is enforceable by State law.

**Late Payment Fees**
Payment of late fees is only allowed with one-time rent arrears assistance.
**Housing Relocation & Stabilization Services (HRSS)**

Housing Relocation and Stabilization Services consist of Financial Assistance and Stabilization Services and are available to both HP and RRH households.

**Financial Assistance**

Eligible financial assistance costs covered under housing relocation & stabilization services are listed below (HRSS):

**Rental Application Fee**

ESG providers may assist program participants with rental applications fees as long as they are only fees charged by the property owner/manager to all applicants.

**Security Deposit**

There is no expectation that security deposits be returned to the ESG provider agency. Security deposits may be used for damages as defined in the lease or returned to the tenant for use on the next unit should the tenant move in the future. Security deposits are to be used for permanent housing only. Clients that are moving into a halfway house or need “program fees” are not eligible. Per HUD guidelines, ESG provider agencies will only provide the equivalent of 2 months’ rent maximum for security deposit to eligible households. Exceptions are not allowed.

**Last month’s rent**

If necessary, an ESG provider agency may assist with the last month’s rent payment at the time the owner is paid the security deposit and first month’s rent. The payment must not exceed one month’s rent.

**Utility Deposits**

There is no expectation that utility deposits be returned to the ESG provider agency. ESG provider agencies may only assist program participants with the standard deposit required by utility companies for gas, electric, water and sewage service.

**Utility Payment and Arrearage Assistance**

ESG agencies will only provide eligible individuals or households with up to 24 months of utility payments within any 3-year period, including up to 6 months of arrears per service, provided that the client or a member of his/her household has an account in his/her name with a utility company. ESG funds can only be utilized for provision of gas, electric, water, sewer, and garbage collection services; not phone or cable. As with rent assistance, utility assistance cannot be provided to eligible individuals or families for the same period of time and for the same cost types that are being provided through another federal or state subsidy program (LIHEAP). When assisting with utility arrearages, ESG provider agencies must complete due diligence to determine monthly costs and document the method used. To receive assistance with utility deposit or utility arrearage, household must show, in addition to imminent risk or homelessness, valid disconnect notice or proof that utilities will be disconnected or not be connected without assistance.
Moving & Storage Costs

Reasonable moving costs, such as truck rental, hiring a moving company, or temporary storage fees can only be provided to eligible households for a maximum of 3 months, provided that fees are accrued after participant is deemed eligible and before the participant moves into permanent housing. Payment of temporary storage fees in arrears are not eligible. ESG agencies must ensure moving costs are reasonable and document the due diligence in finding a reasonable cost.

Stabilization Services

Housing Search and Placement

ESG provider agencies may offer services or activities necessary to assist program participants in locating, obtaining, and retaining suitable permanent housing. Housing should be mutually agreed upon by both the Case Manager and the participant’s Head of Household. A Head of Household may be determined if the household consists of multiple adults, or of multiple minors with no adults. At a minimum, housing should be clean, decent, well-maintained, affordable, and in a neighborhood that meets the needs of the family.

Locating adequate housing is the responsibility of the family with assistance from a Case Manager as necessary. The Case Manager may contact landlords directly if advocacy is needed. Case Managers need to track current rental markets, landlords to avoid, and appropriately refer families to partnering landlords. Referrals will not be made to landlords that have repeatedly proven uncooperative with the ESG provider or a partner agency, have refused to reimburse due funds, do not adequately maintain properties, or have otherwise abused tenants’ rights. If needed, financial assistance with application fees is available.

Per HUD, costs under Housing Stability Case Managements include: assessing (initial and periodic re-evaluations), arranging, coordinating, and monitoring the delivery of services to facilitate housing stability for participants. Services cannot exceed 30 days during the period participant is seeking permanent housing and 24 month-s during the period participant is living in permanent housing.

During short-term assistance, case management includes: assessment; housing stability planning; and referrals. The household is expected to achieve stability goals in 90 days or less. Suggestions may be made for goals to be completed by the ESG participants without case management support. However, per HUD Guidelines, each household receiving ongoing assistance must meet with the case manager on a monthly basis, unless prohibited by Violence Against Women Act (VAWA) or Family Violence and Prevention Services Act (FVSPA).

Medium-term case management calls for regularly defined (monthly or more frequent is acceptable) face-to-face contact between household and case manager. Case management activities may include the same activities of short-term case management. Medium-term case management is required during the months of medium-term assistance and is appropriate when
considerable barriers or need for considerable coordination and advocacy, case plans are expected to last more than 90 days.

Mediation
Mediation services between the participant and property owner/manager are only eligible if necessary to prevent loss of permanent housing.

Credit Repair
Per HUD, credit repair can include credit counseling and other services necessary to assist with critical skills related to household budgeting, managing money, accessing a free personal credit report, and resolving personal credit problems. Additional credit counseling services will be available at the discretion of ESG provider agencies according to need and available resources.

Legal Services
Legal Services must be necessary to resolve a legal problem that prohibits the participant from obtaining permanent housing or will likely result in the loss of permanent housing. It may include landlord/tenant matters; child support; guardianship, paternity, emancipation, and legal separation; orders of protection and civil remedies for violations of DV; appeal of veteran’s and public benefit claim denials; and the resolution of outstanding criminal warrants. Legal services related to immigration and citizenship or mortgages are ineligible under ESG. Prairie State Legal Service (PSLS) is the ESG legal service provider in Kane County. Participants requiring housing related legal services will be referred to PSLS for available services and legal advice.

Determining & Documenting ESG Eligibility
All households must be assessed for eligibility and appropriateness of ESG services, via an initial consultation and eligibility assessment with a case manager or other authorized representative who can determine eligibility and the appropriate type of service needed, upon entry. For financial eligibility households receiving HP services must be reassessed at least once every 90 days and households receiving RRH services must be re-assessed at least annually. Households which do not meet all eligibility criteria are not eligible to receive any ESG services - including financial assistance and housing relocation and stabilization services. Households may become ineligible for ESG services if they do not meet the terms of the Housing Stability Plan at any point as determined by the ESG provider agency, or if ESG services are no longer the most appropriate service to stabilize housing. (See Separation Guidelines)

After initial eligibility, the ESG household is determined to remain eligible until 90 days or file closure, whichever comes first. ESG providers can choose to require re-evaluation each time a program participant experiences a change in income, household composition, or need for assistance.

ESG case files must show sufficient documentation of eligibility and determination of assistance. Guidance on acceptable documentation may be found at www.hudexchange.info. The expectation will be that documentation standards are of the highest standard reasonable. If a lower standard of
documentation is used (for example, self-statement of income) the agency will document attempts made to secure documentation and proceed only when reasonable and necessary to avoid or alleviate a homeless situation of the applicant household.

Eligibility criteria other than listed in HUD guidance or this program plan must be approved by Kane County CoC, documented in written policies and procedures, and must be applied consistently. Households must be eligible under each and all categories below.

**Eligibility Criteria:**

**Homeless Prevention (HP)**
- ESG HP assistance can be available to individuals and families that are in Homeless Categories 2, 3, and 4, but are not literally homeless (see Homeless Definition for categories of homelessness) OR those in At-Risk of Homelessness Categories 1, 2, and 3.
- At initial assessment, households must have income below 30% Area Median Income (AMI) (see HUD Income Limits) and must lack resources and support networks that would prevent them from moving into an emergency shelter or other place described in Category 1 of the homeless definition.
- At each 90-day reevaluation, to continue receiving ESG service households must have income that is at or below 30% of AMI, and must lack sufficient resources and support networks to retain housing without ESG assistance.
- Must meet with a case manager no less than once per month for housing stability case management.

**Rapid Re-Housing (RRH)**
- ESG RRH assistance is only available to individuals and families who meet the definition for Literally Homeless Category 1 under the Homeless Definition Final Rule.
- There is no income threshold at initial assessment.
- At annual reevaluation, households must have income that is at or below 30% of AMI, AND must have no appropriate subsequent housing options AND must lack sufficient resources and support networks to retain housing.
- Must meet with a case manager not less than once per month for housing stability case management.

**All Households (HP or RRH):**
- Must agree to allow household information to be shared with participating agencies of the Coordinated Entry System including via the HMIS reporting system; ESG funding recipients must be entered into HMIS for reporting requirements.
- Must complete a comprehensive assessment of needs and service plans for housing stabilization.
- ESG funding recipients must be willing to create and engage in a Housing Stability Plan and case management as needed to acquire and maintain stable housing.
- Households may be required to complete critical goals prior to being approved for ESG financial assistance. Such goals may include obtaining a source of income in the household as ESG financial assistance for rent will not stabilize a household alone.
- If receiving assistance with utility deposit or utility arrearage, household must show, in addition to imminent risk or homelessness, valid disconnect notice or proof that utilities will not be connected without assistance. As with all activities under ESG, assistance must be sought first from other resources (LIHEAP) and ESG assistance cannot be for the same cost type or time period as other assistance.
- If receiving rental arrears or short-term or medium-term rent assistance, the household must be living in or planning to move to a residence which meets HUD’s Rent Reasonableness Standard, Fair Market Rent (FMR) Standards and housing habitability standards. (See Eligible Units)

Guidance for Determining & Documenting Housing Options, Resources & Support Networks
In addition to determining homeless status and other eligibility criteria, ESG agencies are required to assess whether an applicant has alternatives to ESG assistance to obtain or maintain housing. This includes an assessment of financial resources, assets, family or support networks which may translate to alternative housing options. Kane County CoC understands that, due to the diversity and complexity of our residents’ needs and resources, there is no standardized tool which will accurately predict the future housing situation for all applicants. Kane County CoC will rely on the professional judgment of our ESG provider agency staff to assess, during their interview, housing options, resource and support networks and whether households need ESG assistance. To provide guidance on specific manners of assessment see below.

Financial Resources
Each file will contain a monthly budget which incorporates a household’s income, resources and expenses. The budget is only required to look at expenses necessary to maintain housing and basic needs. However, agencies may also include debt payment or other expenses, including savings for housing stability, if deemed appropriate by the housing stabilization plan. When mainstream resources are available in the community, the ESG agency may require the ESG participating household to obtain or maintain these resources as a condition of assistance.

Assets
Household assets are always to be assessed to determine income eligibility as defined by HUD. A review of assets is also a relevant piece of determining whether a household has financial resources to obtain or maintain housing. Cash, savings and checking accounts are to be considered tangible assets available for the household budget as described above. Household reported cash, and bank accounts must be reviewed in the initial and recertification assessment process. Tangible assets such as furnishings, automobiles, jewelry and clothing may be retained by the household and are not required to be a part of this analysis. Assets designed by law for retirement (e.g., IRA, Roth IRA, 401K accounts) will not be a required aspect of the determination of the household’s resources for applicants younger than 59.5 years of age.
For financial assistance, arrears and short-term rent assistance, agencies are not required to consider other assets (e.g. retirement accounts, certificate of deposit) in their assessment of the household’s resources. For ongoing tenant based rental assistance, agencies are required to consider available assets (e.g., Certificate of Deposits, Investments) in determining whether to include use of these assets in the housing stabilization plan. The following questions are used as guidance for assessing and documenting this decision:

- Does the household report any assets?
- Can the assets be converted to cash quickly and without excessive penalty?
- Is the asset substantial enough, in and of itself, to assist the household in independently sustaining housing without risk?
- If the answer is yes to all of the above, the agency will require the household to strategically use the assets to stabilize future housing. ESG funded Housing Relocation and Stabilization Services may be offered at this time.
- If the assets are not substantial, or may not be converted to cash quickly and without cost and the household would only reasonably delay homelessness, the agency may plan strategic use of these assets in the housing stabilization plan but use of assets is not required by the CoC.

For the purpose of this guidance, ‘substantial’ refers to assets which exceed 2 months of basic household expenses as defined in financial resources above. ‘Without significant penalty’ means a loss of 25% or more of available assets, including potential tax penalties.

Social Support Networks
At the time of application, ESG providers will explore housing options with all applicants. It is the clear intention of the Kane County CoC that viable housing alternatives will be safe and adequate. Case managers will explore current or future alternatives that participants have to ESG assistance. These alternatives include financial resources toward the monthly budget, such as family support, church contributions, or housing alternatives which may include staying with family or friends. Case managers will explore these options in their interview and document, in the file, their concluding assessment of whether these are viable alternatives now or in the future. Viable options would include those which are safe, adequate and unlikely to place the household members at future risk of homelessness (e.g. breaking a lease where the landlord will enter a financial judgment).

Non-Duplication
ESG specifies a household previously deemed ineligible, but which becomes eligible may continue to receive assistance but is not to exceed a total of 24 months of assistance within a 3 year period. Additional financial supports will be determined at the discretion of the ESG provider agency using geographic boundaries and criteria for Non-selection/Non-continuation.
Non-Selection/Non-Continuation (Initial/Continuing Eligibility)

The ESG provider agency will make decisions regarding non-selection for participation in ESG at initial application or at recertification due to any of the reasons defined below. Criteria apply to both initial application and at time of quarterly or annual reassessment.

Please note: violent or drug related criminal activity related to a household receiving any type of rental assistance is grounds for immediate termination. Likewise, misrepresentation of eligibility by any household also provides reason for immediate separation from any program assistance.

- Household does not meet all eligibility criteria; OR
- Household does not complete all steps to establish eligibility in a timely manner; OR
- Misuse of program services by any household member; OR
- Household does not complete or refuses to engage in Housing Stability Plan; OR
- Household non-readiness, as determined by the ESG providing agency, due to:
  - Lack of “key” services from service providers in the community or an unwillingness/ inability by the household to link to such key services; AND/OR
- Household’s misuse of resources, including personal resources such as income and benefits

**Key resources** are those resources or services that are integral to the household’s ability to achieve self-sufficiency.

**Self-Sufficiency** is defined as the household’s ability to maintain rent and household expenses independent of the ESG within a defined period of time.

- At the discretion of the ESG provider agency, based on the household’s use of current and past agency services - including but not limited to: a review of the client’s previous participation in similar agency services, such as homelessness prevention services, short-term case management or some equivalent data.

The ESG provider agency will make all reasonable efforts to link clients to services or advocate for provision of services before making a decision of non-selection.

Separation Guidelines

All assistance provided under ESG is subject to eligibility requirements and program guidelines. Final decisions regarding non-continuation will be relayed in writing, to the household, by the ESG provider agency. The ESG provider agency will provide, when appropriate, information about helpful outside resources and the opportunity to re-apply to the program or to enter the program at a later date. Per HUD guidelines, provider agencies must exercise judgment and examine all extenuating circumstances in determining when violations warrant termination, so that a program participant’s assistance is terminated only in the most severe cases.

A formal separation process will, at a minimum, consist of the following:

- Written notice which includes date of termination, reason for termination, opportunity for appeal, and, if appropriate, any helpful resources to assist the participating household to maintain housing stability.
- Opportunity to appeal – Participating households which are selected for non-continuation are entitled to request a review of the decision with the opportunity to
present oral or written objections before a person other than the person (or a subordinate of the person) who made or approved the termination decision. Final decisions regarding the appeal will be provided promptly in writing.

**Eligible Units**
See ESG Interim Rule 24 CFR Parts 84, 85, 91, & 576 for information regarding conflicts of interest, Non-discrimination and Equal Opportunity Requirements, Fair Housing, and Civil Rights laws.

**Fair Market Rent Limits (FMR) and the Rent Reasonableness Standard**
All units which receive Tenant Based Rental Assistance (TBRA) under the ESG Program must have a monthly gross rent which is at or below the most recently published HUD rents [see HUD Fair Market Rents](https://www.hud.gov/). It is the responsibility of the ESG provider agency to check this website for the most current FMR information. The monthly gross rent must also meet HUD’s rent reasonableness standard, meaning the gross rent charged for a unit must be reasonable in relation to the rents being charged during the same time period for comparable units in the private, unassisted market and must not be in excess of rents being charged by the owner for comparable non-luxury unassisted units. These standards of Fair Market Rent (FMR) and Reasonableness apply to arrearages as well as rent going forward. See HUD’s guidance on calculating FMR & determining Rent Reasonableness.
To document rent reasonableness, the agency must determine rent reasonableness using one of the following methods:
- Reviewing comparable units advertised for rent;
- Written verification from the property owner of comparability of rent charged with other units owned.

The ESG providing agency should consider the following when determining rent reasonable:
- The location, quality, age, size, and type of the unit;
- Any amenities, services and utilities to be provided by the owner.

Documentation of the comparable review will be retained in the participant file. See HUD’s Rent Reasonableness Checklist and Certification form.

**Minimum Habitability Standard**
HUD has set minimum standards for permanent housing. ESG funds may only be used to help a program participant remain or move into housing which meets these minimum habitability standards. This includes funds for Housing Stabilization Services as well as Tenant Based Rental Assistance. ESG provider agencies are to ensure that housing meets the minimum criteria as outlined in CFR 576.403.

Housing inspections completed by a Public Housing Authority for units that will receive a HUD-VASH or Housing Choice Voucher program are acceptable as long as they have been completed within 60 days of the clients ESG application. Client files must contain documentation from the respective Public Housing Authority to confirm that the unit passed inspection prior to a provider issuing financial assistance.
Lease Requirements
The provision of ESG rent assistance and security deposits seeks to ensure permanent housing stabilization.

- The landlord must agree to work with the ESG provider agency to accept rent payment and to stop eviction proceedings upon receipt of notification.
- Households may be assisted at the end of their lease term, if a move to another housing unit is necessary in order to ensure future housing stabilization.
- Month-to-Month leases – month-to-month leases reflect transience, and should be avoided in general. HUD does not specifically exclude month-to-month leases. The ESG provider agency will attempt to engage the landlord to work on a long-term lease arrangement when this benefits the client. If ESG assistance is used to assist a household secure new housing, HUD requires a minimum of a one-year lease.
- ESG provider agencies must have a copy of the valid lease to assist with rent unless financial assistance is limited solely to payment of rental arrears. Valid leases must include signatures of both the client and/or a member of the household and the owner/authorized representative, and must be dated.
- A sublease is considered a legal lease. However, the grantee should be sure that the relationship between the participant/grantee/sub grantee and the landlord is not in violation of the conflict of interest provisions as stated in the ESG Notice. For example, the sublease agreement should not be between relatives or other parties where there is a potential conflict of interest. Only the owner of record or Management Company may be paid.

Rental Assistance Agreement
Rental Assistance cannot be provided without an agreement between the ESG provider agency and the owner/property manager.

- ESG provider agencies will ensure that a rental assistance agreement between the provider and the owner/property manager is completed for each participant household receiving rental assistance.
- ESG provider agencies must ensure timely rent payments are made on behalf of participant households receiving ongoing financial assistance. ESG regulations specifically prohibit late payment fees incurred by the ESG provider under Rental Assistance Agreement with the owner/property manager.
- The Agreement must contain the same rent payment due date, grace period and late payment penalty requirements as the program participant’s lease.

HMIS Standards
HMIS is locally administered by the Kane County Continuum of Care locally referred to as HMIS or Homeless Management Information Systems. HMIS was developed by HUD to gather and analyze data to determine the effectiveness of McKinney-Vento Act Programs. HMIS provides the ability to: develop unduplicated counts of clients served at the local level; analyze patterns of use of people entering and exiting the homeless system; and evaluate the effectiveness of those systems.
ALL Kane ESG providing agencies must enter client-level data into the Kane County Continuum of Care’s HMIS system. Baseline requirements include: Data collection; program descriptors; universal data elements; and program specific data elements. These elements have been revised for the implementation of ESG and may be updated as necessary at the discretion of the HMIS Committee and Board of the Kane County Continuum of Care.

All ESG provider agencies must:
- Comply with federal, state and local confidentiality laws
- Comply with limits on data collection (relevant, appropriate, lawful and specified in privacy notice)
- Have a written policy on HMIS usage and post it on agency website
- Must post a sign at intake or comparable location with general reasons for data collection and reference to the privacy policy

The Kane County Continuum of Care’s HMIS Privacy Plan, baseline privacy policy and additional documents can be found at [http://www.countyofkane.org/Pages/ocr/continuumCare.aspx](http://www.countyofkane.org/Pages/ocr/continuumCare.aspx).

ESG provider agencies should be prepared to promptly enter client and service level data into HMIS, share information within HMIS; send and receive electronic referrals via HMIS. The HMIS Committee contributed to the development of a standardized assessment, referral and reporting requirements.

- ESG provider agencies must adhere to the following requirements for each member of a participating household:
  - Complete the Continuum MDR
  - Complete the ESG Assessment- upon initial assessment, updated quarterly or annually at recertification per applicable HUD requirements, and upon exit
  - Complete a ESG Program Entry & Exit
  - Applicable ESG Financial Services for each service provided
  - Applicable ESG Relocation & Stabilization Services for each service provided-a case management service must be entered for each member of a participating household every quarter

In addition to a valid authorization to release information from the head of household and each adult member, each ESG provider agency has agreed to participate in ESG provider groups within HMIS to share information required for reporting purposes and to prevent duplication of services. See Kane Homelessness Prevention Partnership Community Partnership Agreement 2011 (updated annually).

**Tracking Services and Outcomes**

Services and program outputs must be tracked according to HMIS standards. The Board of the Continuum of Care determined that the HMIS-generated Annual Performance Report (APR) and the annual Kane County CoC Homelessness Prevention Reporting Tool are, together, sufficient to track outcomes. However, all ESG agencies must complete a follow up call in 30 days from the date households were exited from ESG to determine whether the household remained stably housed.
See the CoC APR Guidebook for more information. Additional performance outcomes may be proposed by the Board of the Continuum of Care. Consistent HMIS data entry will increase potential for continuum-wide ESG performance.

Non-Discrimination and Equal Access Policy
The Continuum of Care for Kane County, which is comprised of local service agencies and providers, does not, and shall not, discriminate on the basis of race, color, religion (creed), gender, gender expression, national origin (ancestry), disability, marital status, sexual orientation, or military status, in any of its activities or operations. These activities include, but are not limited to, provision of any and all services, hiring and firing of staff, and selection of volunteers and vendors. The Continuum of Care for Kane County is committed to providing an inclusive and welcoming environment for all members of our staff, clients, volunteers, subcontractors, vendors, and clients.

Additionally, the Continuum of Care for Kane County, and all affiliated agencies and providers, adhere to the Equal Access in Accordance with an Individual’s Gender Identity in Community Planning and Development Programs, which ensures that all projects are open to all eligible individuals and families, regardless of sexual orientation, gender identity, or marital status. Equal access to all accommodations, benefits, and services are provided to individuals and families.

Client Confidentiality
Each ESG providing agency must implement procedures to ensure the confidentiality of records pertaining to any individual provided with assistance and that the address or location of any assisted housing will not be made public. To facilitate coordination, to ensure non-duplication and to aid in the consistent reporting of ESG services provided within the HMIS service system, all participating adults must be informed of the HMIS Privacy Policy. Participant’s information will be utilized and shared per the standards in the Privacy Plan and policy. All participating adults are required to sign an Authorization to Release Information, including household demographics, income, and service information and case plan that may be shared amongst all providers in the Homelessness Prevention Provider Committee. Participating household members may decline sharing of certain assessment information which is not essential to coordination/non-duplication. It is the responsibility of the ESG provider agency to protect the confidential nature of such information. However, participant refusal to share essential information for service coordination/non-duplication will affect eligibility for ESG services.

Recordkeeping Requirement
Sufficient records must be established and maintained by ESG Providers to demonstrate that all ESG requirements are being met.

Record Retention Period
ESG providers must maintain documentation on all households seeking assistance. If determined ineligible, documentation must reflect reasons. Documentation of participant eligibility and assistance provided must be retained for 5 years after the expenditure of all funds from the grant under which the program participant was served.
**Reporting Requirements**
ESG Recipients will submit annual performance reports to HUD via Consolidated Annual Performance and Evaluation Reporting (CAPER). Kane ESG HPRR providers will ensure necessary data is available in the required format per the grantors (State of Illinois and/or Kane County) requests.

**Monitoring**
Kane ESG providers have received funding from either or both state and county grantees. As with program implementation guidance, monitoring requirements are expected to be met as they are outlined by each grantor. The requirements may be included in contracts, Memorandum of Understanding, or manuals. As ESG program has been in development, some updates to procedures may be provided via email.

County guidance for direct federal allocation states the following:
Sub grantee monitoring reinforces accountability; provides for continuous improvement; and is required by the ESG Notice. Monitoring goals include the improvement of program and financial performance and ensure regulatory compliance in all areas. Monitoring the ESG in the County is done through a variety of measures consisting of desk top review, which also includes evaluation of requests for payment, and on-site visits with file review.
### Application for Federal Assistance SF-424

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| **2. Date Received:** | A. Applicant Identifier |  |
| | 6/19/2019 | 67450-2131 |  |

| **C. Federal Entity Identifier:** |  |
| | 47065370 |  |

| **6. Date Received by State:** | / State Application Identifier: |  |
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### 2. Applicant Information

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### 7. Name and Contact Information of Person to be Contacted on Matters Involving this Application

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**2019 Annual Action Plan (HUD approved June 2019)**

OMB Control No: 2506-0117 (exp. 06/30/2018)
Application for Federal Assistance SF-424

2. Type of Applicant 1: Select Applicant Type:
   a. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:
   a. Other (specify)

10. Name of Federal Agency:
    U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

11. Catalog of Federal Domestic Assistance Number:
    1.234
    CPF Title:
    Section 92 Investment Partnership Program (HOPE)

13. Competition Identification Number:
    NA
    Td:

14. Areas Affected by Project (Cities, Counties, States, etc.):

15. Descriptive Title of Applicant's Project:
    HOPE, CITY/STATE INVESTMENT PARTNERSHIP VIERING ASSISTANCE FOR VARIOUS HOUSING AND URBAN DEVELOPMENT PROJECTS FOR THE CITY OF AURORA'S RESIDENTS AND FOR PROGRAM ADMINISTRATIVE COST.

Supporting documents as specified in agency instructions

Add Attachments  Delete Attachments  View Attachments
Application for Federal Assistance SF-424

18. Congressional Districts Of:
   * A Applicant [ ]
   * B Program/Project [ ]

Attach an additional list of Program/Project Congressional Districts if needed

17. Proposed Project:
   * a. Start Date 01/01/2019
      [ ]

10. Estimated Funding ($):
   * A Total
   * B Source

19. Is Application Subject to Review By State Under Executive Order 12372 Process? (check one)
   [ ]a. This application was not available to the State under the Executive Order 12372 Process for review on
   [ ]b. Program is subject to E.O. 12372 but has not been satisfied by the State for review
   [ ]c. Program is not covered by E.O. 12372

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)
   [ ]Yes  [ ]No
   [ ]"Yes", provide explanation and attach

21. By signing this application, I certify: 1) the statements contained in the list of certifications and (2) that the statements
   herein are true, complete and accurate to the best of my knowledge. I also certify the required assurance, and agree to
   comply with any resulting legal or administrative penalties, (U.S. Code, Title 21, Section 1001)
   [ ]I AGREE
   "The list of certifications and assurances, or an internet site where you may obtain this list, is outlined in the announcement of agency
   specific instructions.

Authorized Representative:
   [ ] First Name
   [ ] Last Name
   [ ] Title
   [ ] Phone Number
   [ ] Fax Number
   [ ] Email
   [ ] Signature of Author and Representative

2019 Annual Action Plan (HUD approved June 2019)

OMB Control No: 2506-0117 (exp. 06/30/2018)
**Application for Federal Assistance SF-424**

**8. Type of Applicant 1: Select Applicant Type:**

**City or Township Government**

**Type of Applicant 2: Select Applicant Type:**

**Other (specify):**

**10. Name of Federal Agency:**

**Department of Housing and Urban Development**

**11. Catalog of Federal Domestic Assistance Number:**


**CPDA #**

Community Development Block Grants/Entitlement Grants

**12. Funding Opportunity Number:**

**FA**

**B. Title:**

FA

**13. Competition Identification Number:**

FA

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

**15. Descriptive Title of Applicant's Project:**

**Comm.Dev. Funding Assistance for Various Empowering Public Facilities, Public Services, Neighborhood Initiatives for the City of Nevada's Low Citizens and Progressive Area.**

Attach supporting documents as specified in agency instructions

Add Attachments  Delete Attachments  View Attachments
2019 Annual Action Plan (HUD approved June 2019)
11. Will comply, or his already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition for Public Purposes Act of 1970 (42 U.S.C. 4601 et seq.) which provide for fair and equitable treatment of persons displaced or whose property is acquired in a manner Federal and financially assisted programs. These requirements apply to all interests in real property acquired for public purposes regardless of Federal participation in purchases.

12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1551-1556 and 7325-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276g), the Clean Air Act (42 U.S.C. §7501 et seq), and the General Work-Four and Safety Standards Act (40 U.S.C. §§657-683 regarding labor standards for Federally-assisted construction subagreements.

14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Prevention Act of 1973 (PL 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $12,000 or more.

15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) initiation of environmental impact control measures under the National Environmental Policy Act of 1969 (PL 91-190) and Executive Order (EO) 11941; (b) notification of violations of control measures pursuant to EO 11989; (c) protection of wetlands pursuant to EO 11968; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (42 U.S.C. §§4131-4131) as amended; (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 110(b) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq); (g) prevention of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (PL 93-522), and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (PL 93-205).


18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1995 and OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations."

19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

20. Will comply with the requirements of Section 108(b) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. §7104) which prohibits grant award recipients or sub-recipients from (1) engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

Signed:

Title:

Date Submitted:

APPLICANT ORGANIZATION

CITY OF ANACAPA, CALIFORNIA
CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further - Fair Housing - The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan - It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 24 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying - To the best of the jurisdiction’s knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, “Disclosure Form to Report Lobbying,” in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall verify and disclose accordingly.

Authority of Jurisdiction - The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan - The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategies in the jurisdiction’s consolidated plan.

Section 3 - It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official
Richard C. Irvin, Mayor
City of Aurora, IL

Date
5/1/19

2019 Annual Action Plan (HUD approved June 2019)
Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program years 2019, 2020, 2021, shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan-assisted funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, no assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations;

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.
Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and L.

Compliance with Laws -- It will comply with applicable laws.

\[\text{Signature of Authorized Official}_1 \quad \text{Date}_2\]

Richard C. Irvin, Mayor
City of Aurora, IL

Title
OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR §70.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community; and other financial resources are not available to meet such needs.

[Signature]

Richard C. Irvin, Mayor
City of Aurora, IL

Title

[Date]
Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing:

[Signature of Authorized Official]

[Date]

Richard C. Irvin, Mayor
City of Aurora, IL

Title
Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If the emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 7 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services to shelter homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, case management, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records relating to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.
Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official
Richard C. Irvin, Mayor
City of Aurora, IL

Date: 5/1/19

Title
Housing Opportunities for Persons With AIDS Certifications

The HOPE 4 Illinois (H4I) agency certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under this program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,

2. For a period of not less than 5 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature of Authorized Official

Richard C. Irwin, Mayor
City of Aurora, IL
Title

Date

Not Applicable.
APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification
This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.