

CITY OF AURORA

Program Summary for Sanitary Sewer Backup Prevention Assistance

(Revised effective April, 2014)

General: The City encourages residents that have had sanitary sewer backups in the past to install a protective backup device or revise their plumbing to prevent sanitary sewer backups in the future.

Funding Arrangement: Residents may apply for a rebate of 50% of the cost of their project up to a maximum \$5,000.00.

The payment you receive is considered a grant and will require the City of Aurora to issue IRS Form 1099-G, reporting this payment as taxable income. You should consult with your tax advisor regarding the proper reporting of this payment.

Types of Projects Funded: Sewer backup protection projects, such as installation of overhead sewers and backflow prevention devices. This would also include improvements to upgrade existing sewer backup prevention components (this would not include routine maintenance of existing systems or the installation of a sump pump).

Projects are limited to existing residential single family and multifamily homes (new construction and non-residential structures are excluded). Homes constructed after April 14, 1998 will be eligible if it can be shown that the home has experienced flooding by sewer backup.

Pre-approval is required to be eligible. Once eligibility has been established and a method of prevention has been chosen, the City's Engineering Division will notify the homeowner that they may proceed and make application for a building permit.

All projects will require a City building permit and inspection to verify proper installation.

Once installed and inspected, protective back up devices must be regularly and properly maintained by a licensed plumber according to manufacturer's specifications. The City will not be responsible for the maintenance or operation of prevention devices nor will the City be liable for any resulting damages caused by the failure of prevention devices.

The Applicant Must Submit:

1. A description of the work to be done.
2. A detailed cost estimate from two licensed and bonded contractors.
3. Upon completion, a detailed invoice from, the contractor or other evidence of the costs, including proof of payment.
4. A final inspection and approval by the City's Division of Inspections and Permits.
5. Proof of ownership of the property.
6. Completed IRS Form W-9

The above information must be provided along with a completed application to the City of Aurora's Department of Engineering, 44 East Downer Place, Aurora, Illinois 60507. For additional information call 630-256-3200.

CITY OF AURORA

Application for Sanitary Sewer Backup Prevention Rebate Program

(Revised effective April, 2014)

I/We hereby make application for a rebate for the sanitary sewer backup prevention work at the following address in the City of Aurora:

Name: _____

Address: _____

Email: _____

Telephone: _____

The following information must be supplied with this application **Before Work Has Commenced:**

- _____ 1. A description of the work to be performed.
- _____ 2. A detailed cost estimate from two plumbing contractors licensed and bonded with the State of Illinois.

The following information must be supplied prior to reimbursement:

- _____ 1. City permit number and date of final inspection.
- _____ 2. Upon completion, a detailed invoice from the contractor or other evidence of the project costs incurred.
- _____ 3. Canceled check or other proof of payment of the project costs.
- _____ 4. Copy of most recent real estate tax bill or other proof of ownership of the property.
- _____ 5. Completed IRS Form W-9.

Upon approval by the City, a rebate of 50% of the total applicable project costs and permit fees up to a maximum of \$5,000.00 will be paid to the property owner. Please allow four weeks for processing of payment.

The City assumes no responsibility for the maintenance or operation of the sanitary backflow prevention device.

I/We hereby attest that the attached documents are true and correct copies of original documents of the actual project costs incurred, and that I/We are the owner-occupants of the above property.

Date

Property Owner

Account Number
280-1852-512-45-39

FOR CITY USE ONLY

Approved:

Date:

Inspection Services _____

Engineering Department _____

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return)	
	Business name/disregarded entity name, if different from above	
	Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____ <input type="checkbox"/> Other (see instructions) ▶ _____	Exemptions (see instructions): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____
	Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
	City, state, and ZIP code	
List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Social security number									

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Employer identification number									

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person (defined below), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on www.irs.gov/w9 for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of effectively connected income, and

4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.